

# Satellite data assimilation for Numerical Weather Prediction II

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## Outline

1. Review of concepts from previous lecture
2. Background errors and vertical resolution
3. Systematic biases and bias correction
4. Ambiguity in radiance observations
5. Current research topics:
  1. Assimilation of data affected by clouds and precipitation
  2. Surface-sensitive channels over land/sea-ice
6. Summary

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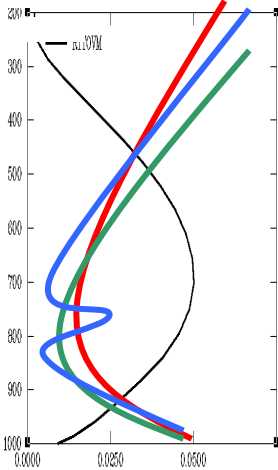
## Review of some key concepts

- **Satellite data are extremely important in NWP.**
- **Data assimilation combines observations and a priori information in an optimal way and is analogous to the retrieval inverse problem.**
- **Passive nadir sounders have the largest impact on NWP forecast skill:**
  - Nadir sounders measure **radiance** (not T,Q or wind).
  - Sounding radiances are **broad vertical averages** of the temperature profile (defined by the weighting functions).
  - The retrieval of atmospheric temperature from the radiances is **ill-posed** and all retrieval algorithms use some sort of **prior information**.
  - Most NWP centres **assimilate raw radiances** directly due to their simpler error characteristics. 4DVAR is now widely used.

## 2.) Background errors and vertical resolution

# Lecture 1: Satellite radiances have limited vertical resolution

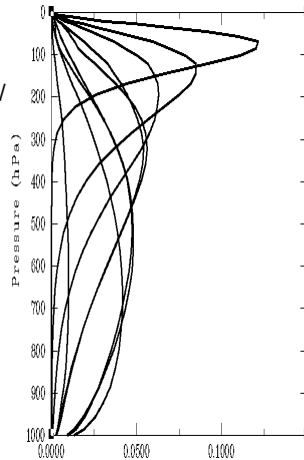
Single channel



Selecting radiation in a number of frequencies / channels improves vertical sampling and resolution



Several channels (e.g. AMSUA)



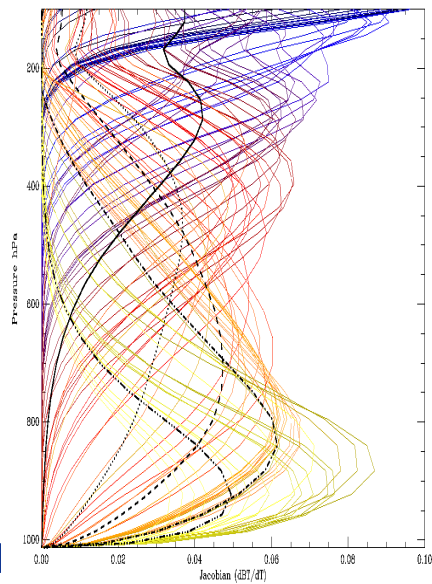
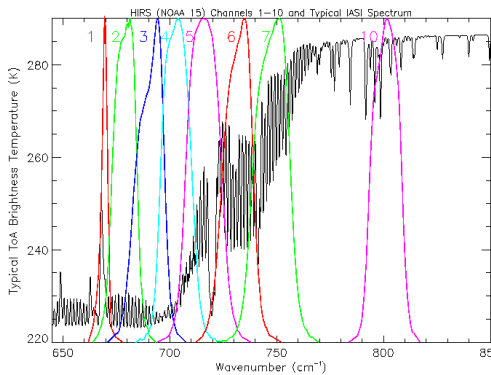
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## Improving vertical resolution with hyperspectral IR instruments (AIRS/IASI)

These instruments sample the spectrum extremely finely and thus generate many thousands of channels peaking at different altitudes.

However, vertical resolution still limited by the physics.



## Satellite radiances “seeing” and “correcting” background errors

When we minimize a cost function of the form (in 1D / 3D / 4D-VAR)

$$J(x) = (x - x_b)^T \mathbf{B}^{-1} (x - x_b) + (y - \mathbf{H}[x])^T \mathbf{R}^{-1} (y - \mathbf{H}[x])$$

We can think of the adjustment process as radiances observations **correcting errors in the forecast background** to produce an analysis that is closer to the true atmospheric state. For example in the simple linear case...

$$x_a = x_b + \underbrace{[\mathbf{HB}]^T [\mathbf{HBH}^T + \mathbf{R}]^{-1}}_{\text{correction term}} (y - \mathbf{H}x_b)$$

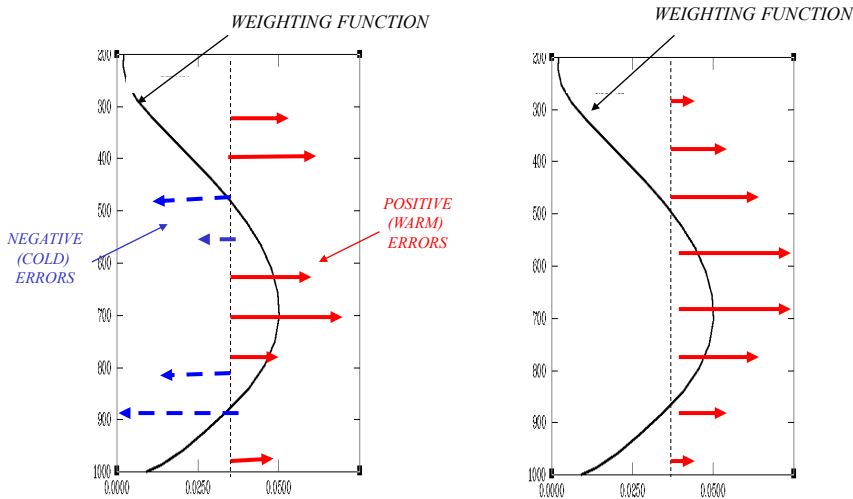
Because of broad weighting functions the radiances have very little vertical resolution and the **vertical distribution of forecast errors** is crucial to how well they will be “seen” and “corrected” by satellite data in the analysis.

This vertical distribution is communicated to the retrieval / analysis via the **vertical correlations** implicit in the background error covariance matrix **B** (the rows of which are sometimes known as **structure functions**).

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## Correcting errors in the background



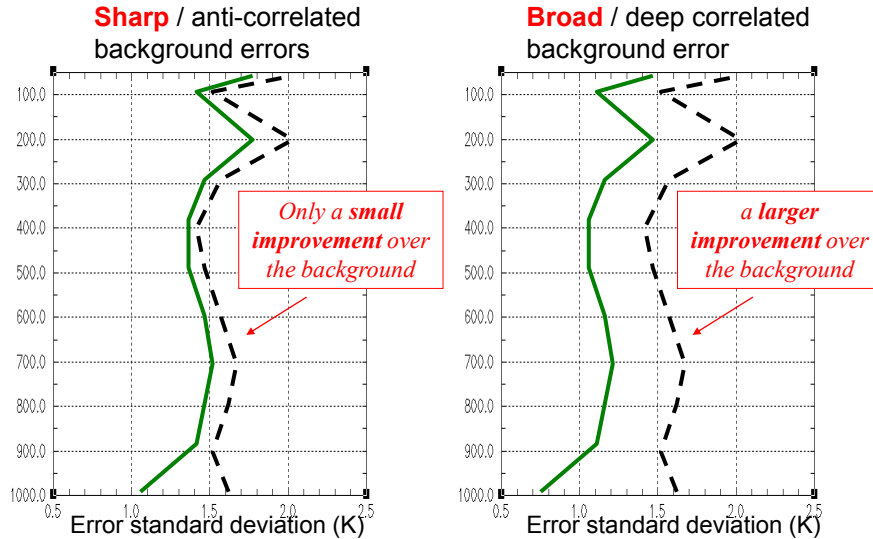
“Difficult” to correct

“Easy” to correct

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## Analysis performance for different background errors



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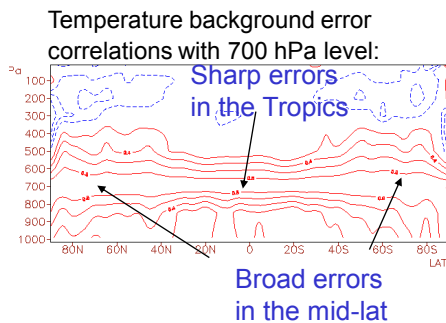


## Estimating background error correlations

If the **background errors are mis-specified** in the retrieval / analysis this can lead to a complete mis-interpretation of the radiance information and badly damage the analysis, possibly producing an analysis with **larger errors than the background state** !

Thus accurate estimation of **B** is crucial:

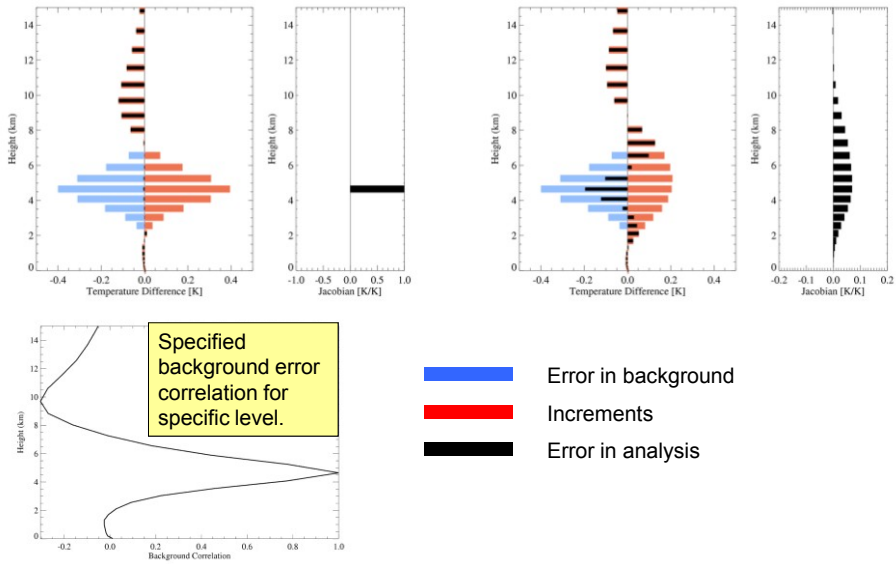
- Comparison with **radiosondes** (best estimate of truth but limited coverage)
- Comparison of e.g. 48hr and 24hr forecasts (so called **NMC method**)
- Comparison of **ensembles** of analyses made using perturbed observations



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## Example of background constraint



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## 3.) Systematic errors and bias correction

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## Systematic errors (biases)

Systematic errors (or biases) must be removed before the assimilation otherwise biases will propagate in to the analysis (causing **global damage** in the case of satellites!).

$$\text{Bias} = \text{mean} [ Y_{\text{obs}} - H(X_b) ]$$

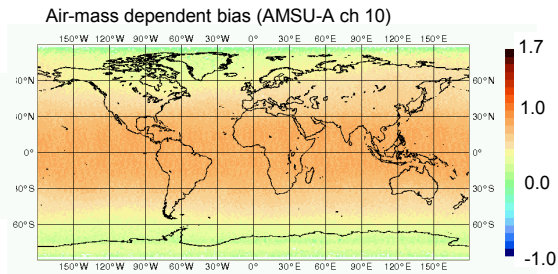
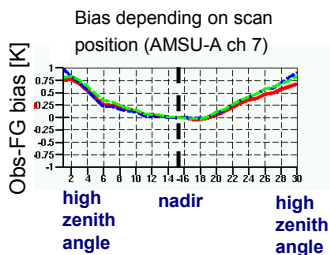
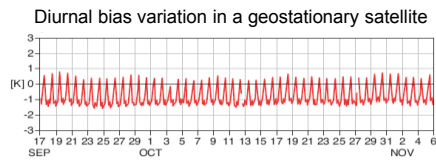
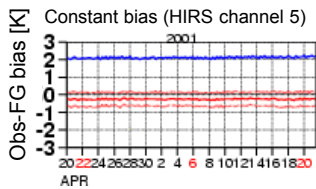
Observed radiance
RT model
Background atmospheric state

Sources of systematic error in radiance assimilation include:

- Instrument error (calibration)
- Radiative transfer error (spectroscopy or RT model)
- Cloud/rain/aerosol screening errors
- Systematic errors in the background state from the NWP model

## What kind of biases do we see? (I)

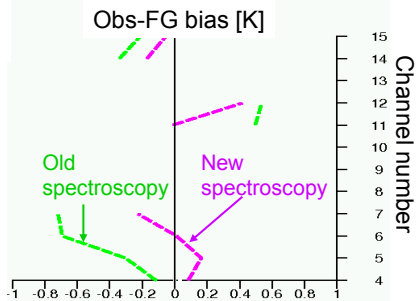
Biases are obtained from long-term monitoring of observation minus background.



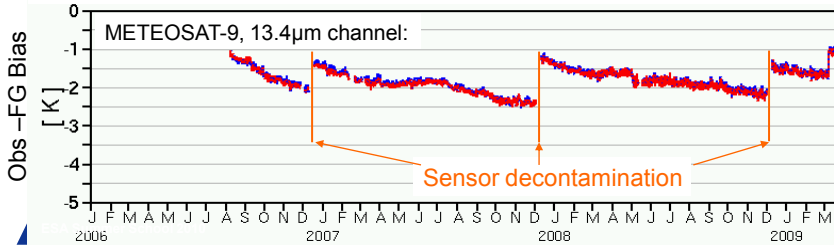
## What kind of biases do we see? (II)

Different bias for HIRS due to different spectroscopy in the radiative transfer model:

- Other common causes for biases in radiative transfer:
- Bias in assumed concentrations of atmospheric gases (e.g., CO<sub>2</sub>)
  - Neglected effects (e.g., clouds)
  - Incorrect spectral response function
  - ....

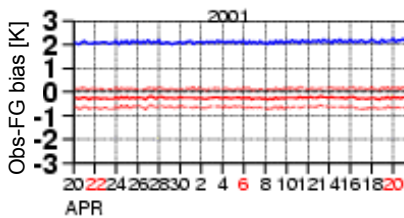


Drift in bias due to ice-build up on sensor:

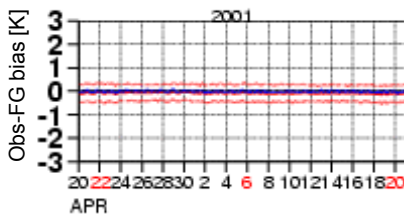


## Diagnosing the source of bias (I)

Monitoring the background departures (averaged in time and/or space):



HIRS channel 5 (peaking around 600hPa) on NOAA-14 satellite has +2.0K radiance bias against FG.



Same channel on NOAA-16 satellite has no radiance bias against FG.

→ NOAA-14 channel 5 has an instrument bias.

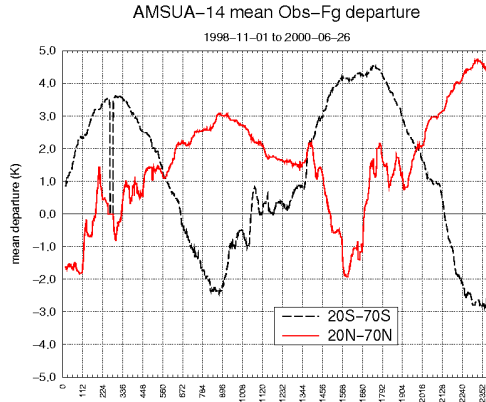


## Diagnosing the source of bias (II)

What about biases in the forecast model?

This time series shows an **apparent time-varying bias** in AMSU channel14 (peaking at 1hPa).

By checking against other research data (HALOE and LIDAR data) the bias was confirmed as an **NWP model temperature bias** and the channel was assimilated with **no bias correction**

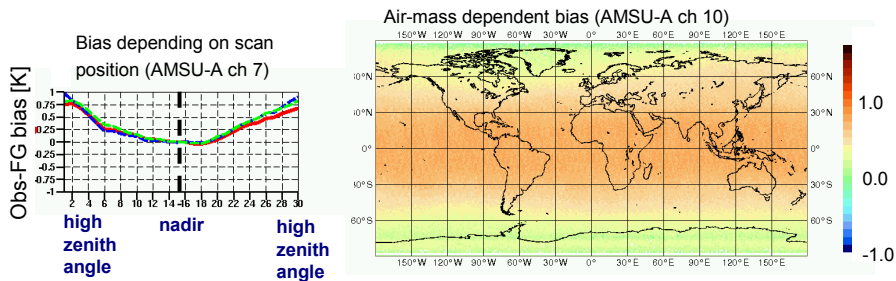


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## Bias correction

- Biases need to be corrected before or during the assimilation.
- Usually based on a “model” for the bias, depending on a few parameters.
  - Ideally, the bias model “corrects only what we want to correct”.
  - If possible, the bias model is guided by the physical origins of the bias.
  - Usually, bias models are derived empirically from observation monitoring.
- Bias parameters can be **estimated offline** or as part of the assimilation (“**variational bias correction**”)

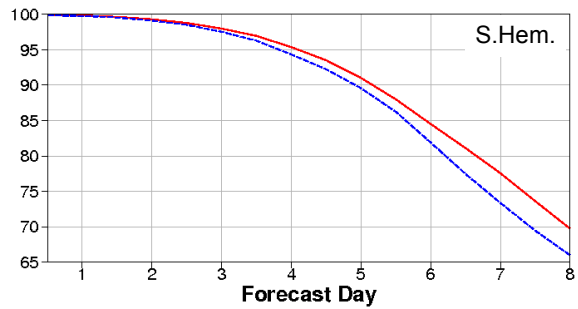
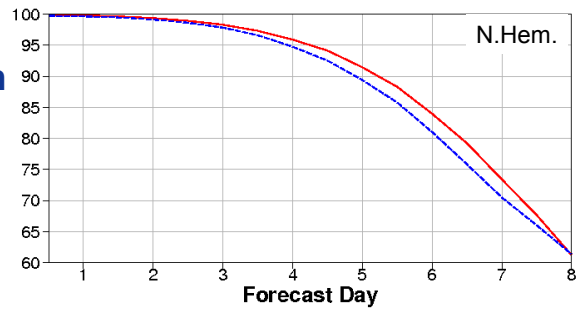


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## Importance of bias correction

Forecast impact comparing  
**operational bias correction**  
vs  
**bias correction with static  
global constant only**



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## 4.) Ambiguity in radiance observations

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## Ambiguity between geophysical variables

When the primary absorber in a sounding channel is a **well mixed gas** (e.g. oxygen) the radiance essentially gives information about variations in the **atmospheric temperature profile only**.

$$L(\nu) = \int_0^{\infty} B(\nu, T(z)) \left[ \frac{\tau(\nu, z)}{dz} \right] dz$$

When the primary absorber is **not well mixed** (e.g. water vapour, ozone) the radiance gives **ambiguous information** about the temperature profile and the absorber distribution. This ambiguity must be resolved by:

- Differential channel sensitivity
- Synergistic use of well mixed channels (constraining the temperature)
- The background error covariance (+ physical constraints)

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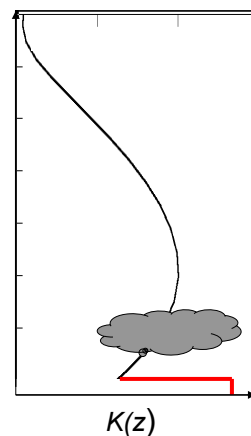
## Ambiguity with surface and clouds

By placing sounding channels in parts of the spectrum where the absorption is **weak** we obtain temperature (and humidity) information from the **lower troposphere** (low peaking weighting functions).

**BUT ...**

These channels (obviously) become more sensitive to surface emission and the effects of cloud and precipitation.

In most cases **surface or cloud** contributions will **dominate the atmospheric signal** in these channels and it is difficult to use the radiance data **safely** (i.e. we may alias a cloud signal as a temperature adjustment).



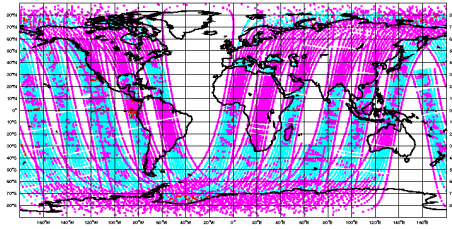
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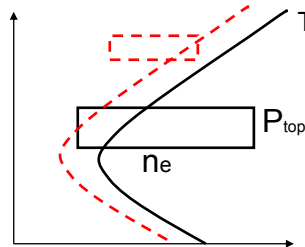
## Options for using lower-tropospheric sounding channels

- Screen the data carefully and only use situations for which the surface and cloud radiance contributions can be computed very accurately *a priori* (e.g. cloud free situations over sea).  
But meteorologically important areas are often cloudy!

AMSUA data usage 2001/11/10, pink=rejected blue=used



- Simultaneously estimate atmospheric temperature, surface temperature / emissivity and cloud parameters within the analysis or retrieval process (need very good background statistics!). Can be dangerous.



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## 5.) Some current research topics

### Assimilation of cloud/rain affected radiances

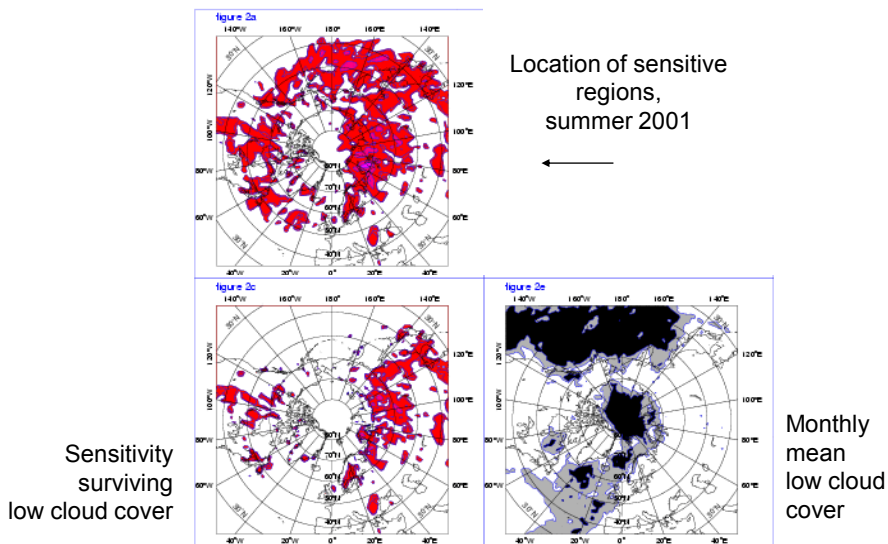
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## Assimilation of cloud/rain-affected radiances

- Currently, more than 90 % of the radiances assimilated at ECMWF are from clear-sky regions.
  - A lot of radiances are thrown out just because they observe clouds or rain.
- But meteorologically sensitive regions are often cloudy...

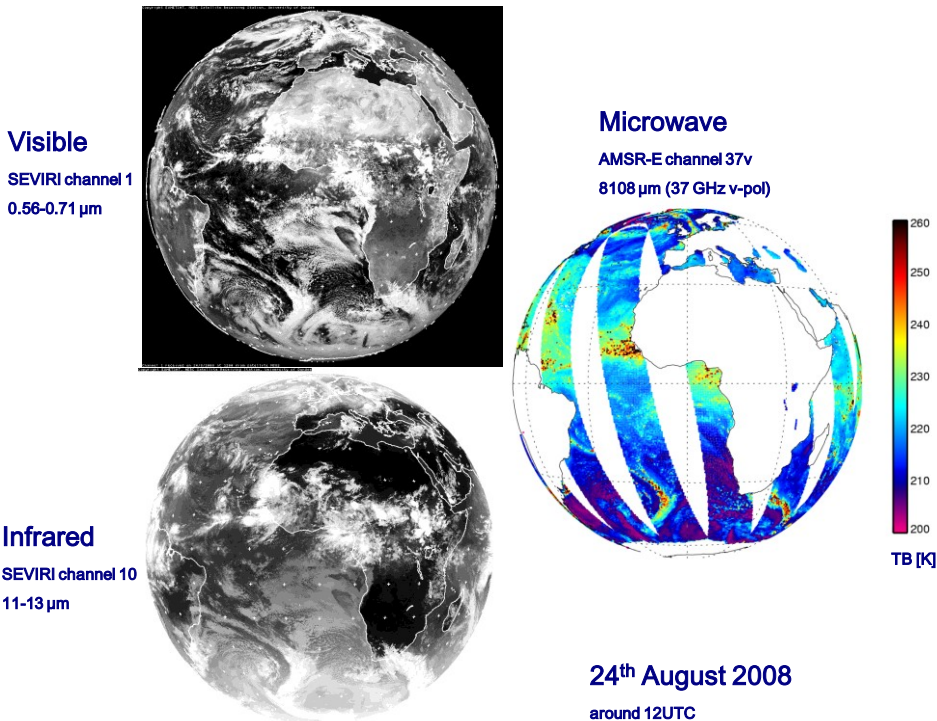
## Importance of cloud observations



## Potential issues for cloud/rain

- The cloud uncertainty may be an order of **magnitude** larger than the T and Q signal (i.e. 10s of Kelvin compared to 0.1s of Kelvin).
- The radiance response to cloud changes is **highly non-linear** (i.e.  $H = H_x$ ), esp. in infrared.
- Errors in **background cloud parameters** provided by the NWP system may be difficult to quantify and model.
- Conflict between having enough cloud variables for an **accurate RT calculation** while limiting the number of cloud variables to those that can be **uniquely estimated** in the analysis from the observations.
- Complex interactions with **model physics**.

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## Two current approaches to assimilation of cloudy/rainy radiances

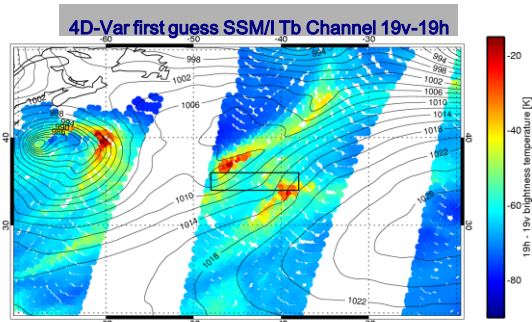
### Microwave:

- “Allsky” system
- Use radiative transfer that includes effects of cloud/rain
- Use observations in all conditions
- Include fields for cloud/rain from model physics
- Operational for SSM/I, AMSRE (imagers with MW window channels)

### Infrared:

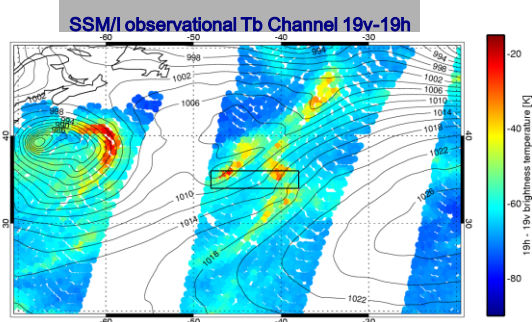
- Restriction to overcast data
- Estimate basic cloud parameters (cloud top pressure, cloud fraction) from observations, and use in radiative transfer
- Use data for totally overcast scenes only
- No feedback on model cloud fields
- Operational for IR sounding instruments

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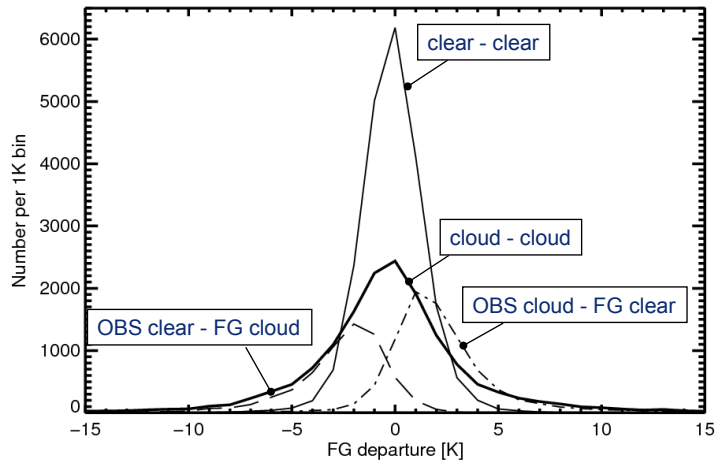


Does the NWP model provide good information on cloud/rain?

First guess versus SSM/I observations



## Why all-sky?



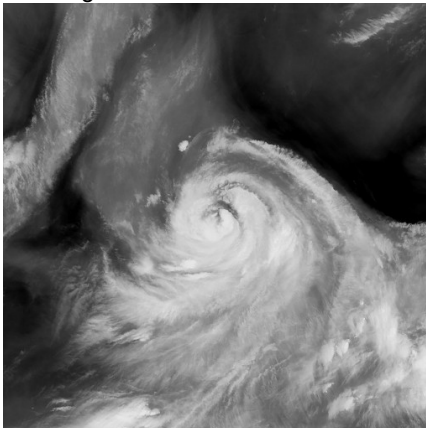
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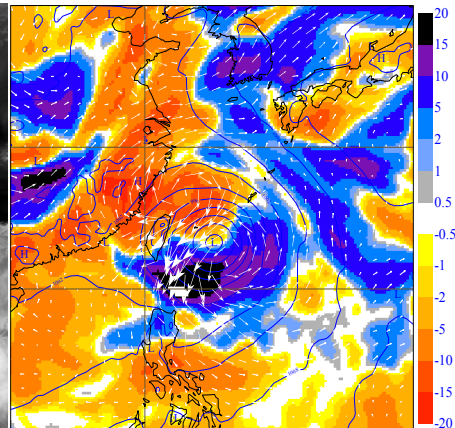
## Impact of rain-affected microwave radiances in severe weather

Typhoon Matsa (04/08/2005 00 UTC)

IR image from MTSAT



Moisture and wind increments

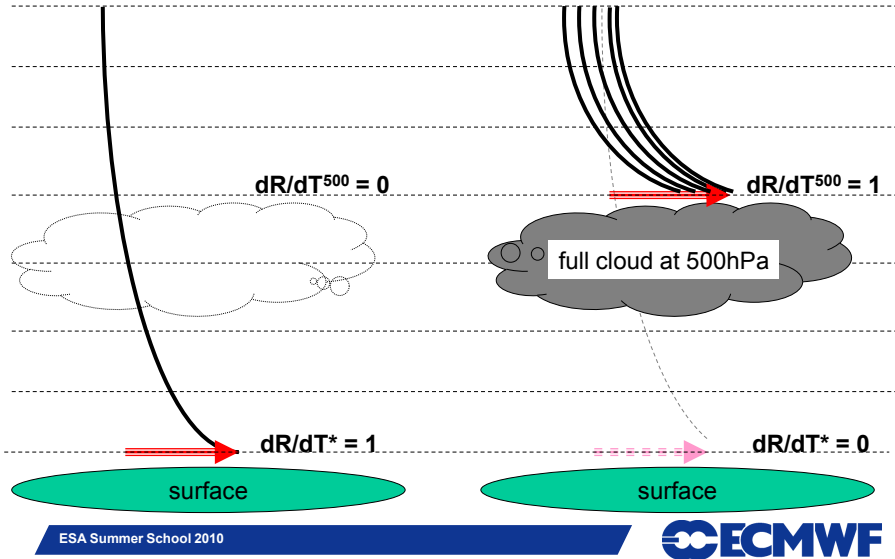


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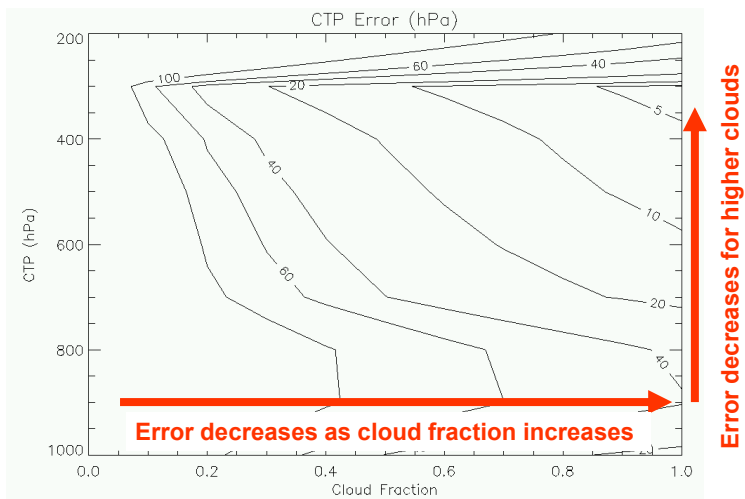




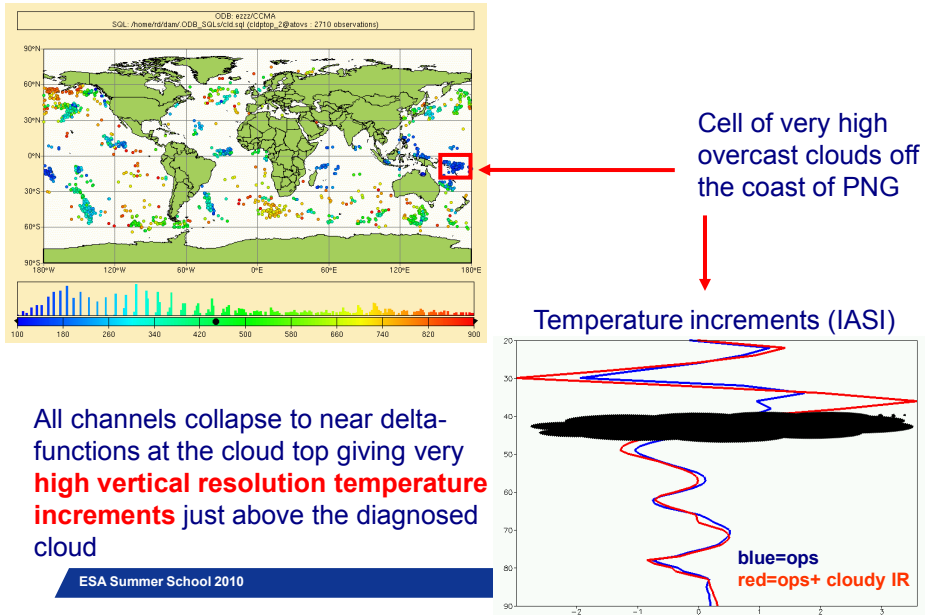
## Enhanced temperature estimation at cloud top for IR



## Estimation of cloud top pressure with IR data



## Temperature increments at the cloud top



## 5.) Some current research topics

### Assimilation of surface-sensitive channels over land

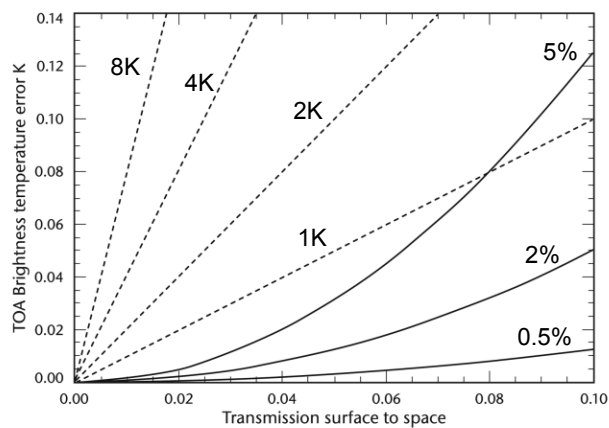
## Assimilation of surface-sensitive channels over land

- For surface-sensitive channels, assimilation is most mature for data over sea.
  - Advantages:
    - Surface emission relatively well known, as errors in sea-surface temperatures and emissivity relatively small (~0.5 K, 1 %).
    - For the microwave, sea surface emissivity is relatively low (0.5-0.6)
  - Also, few conventional observation are available over sea!
- Use of surface-sensitive channels over land or sea-ice more difficult:
  - Errors in land surface temperature relatively larger (~5-10 K)
  - Surface emissivity less well known.
  - Cloud-screening more difficult.

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## Influence of emissivity and skin temperature error



Solid: influence of emissivity error

Dashed: influence of skin temperature error

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## Approaches to use surface-sensitive channels over land/sea-ice

- **Use window channels to constrain surface emissivity and/or skin temperature.**
  - Use previously derived emissivity atlas.
  - Retrieve surface emissivity or skin temperature prior to main assimilation.
  - Retrieve surface emissivity or skin temperature within the main analysis.

## Summary

The assimilation of satellite radiance observations has a very powerful impact upon NWP data assimilation schemes, but...

... we must pay careful attention to ...

- **BACKGROUND ERROR STRUCTURES**  
(what are they and are they correctly specified?)
- **SYSTEMATIC ERRORS**  
(what are they and are they correctly specified?)
- **AMBIGUITY BETWEEN VARIABLES**  
(both atmospheric and surface / cloud contamination)