



# Representing interactions between radiation and Earth's surface in large-scale models

Bernard Pinty,

EC-JRC Institute for Environment and Sustainability, Ispra, Italy

Seconded to the Earth Observation Directorate,

ESA-ESRIN, Frascati, Italy

*4<sup>th</sup> ESA EO Summer School on Earth System Monitoring and Modelling*

*August 4-14, 2008, ESRIN*

*Frascati, Italy*



# Partitioning of Solar fluxes in Land Surface Canopies based on operational ESA and NASA products

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# How do we model the absorbed fluxes in vegetation and soil ?

Correct partitioning between the flux that is absorbed :

1- in the **vegetation** layer  $A_{\text{veg}} = 1 - \overset{\text{VIS+NIR}}{\text{ALB}}_{\text{sfc}} - A_{\text{ground}}$

2- in the **background**  $A_{\text{ground}} = T_{\text{veg}} (1 - \alpha_{\text{ground}})$

Assessment of the fraction of solar radiant flux that is **scattered** (albedo) by, **transmitted** through and **absorbed** in the vegetation layer

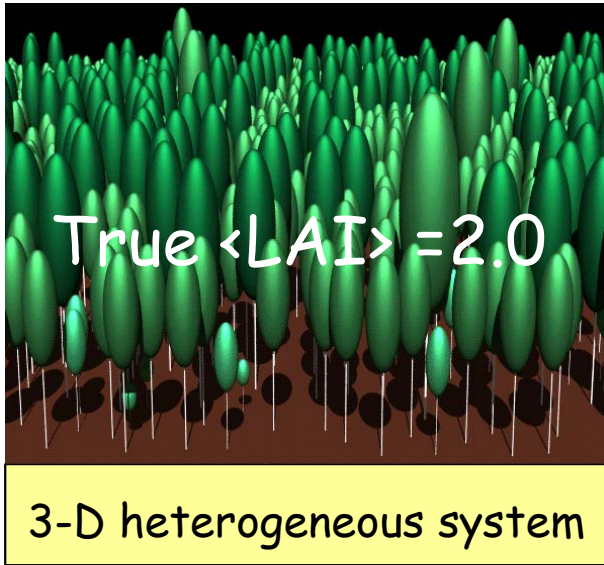
# What are the needs?

- Update/improve the current Land Surface schemes describing the radiation transfer processes in vegetation canopies  
see 2-stream model by Pinty et al. JGR (2006).

# Requirements from a 2-stream model

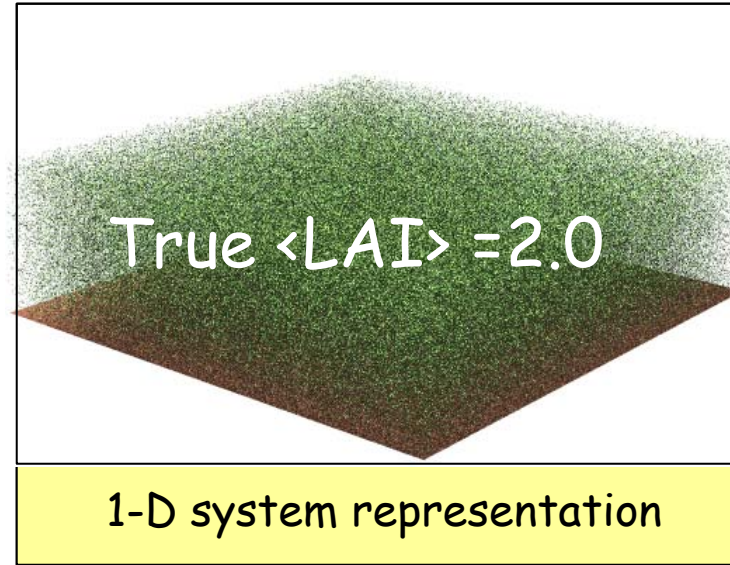
- 3 (effective) state variables:
  1. *Optical depth: LAI*      *amount of leaf material*
  2. *single scattering albedo :*  
*Leaf reflectance+ Leaf transmittance*      *leaf color*
  3. *asymmetry of the phase function*  
*Leaf reflectance/transmittance*
- 2 boundary conditions:
  1. *Top: Direct and Diffuse atmospheric fluxes (known)*
  2. *Bottom : Flux from background Albedo (unknown) soil color*

# The concept of effective LAI



Direct transmission at 30 degrees Sun zenith angle,

$$T_{3-D}^{direct}(\langle LAI \rangle) = 0.596$$



Direct transmission at 30 degrees Sun zenith angle,

$$T_{1-D}^{direct}(\langle LAI \rangle) = \exp\left(-\frac{\langle LAI \rangle}{2\mu_0}\right) = 0.312$$

Effects induced by internal variability of LAI

# What are the needs?

- Update/improve the current Land Surface schemes describing the radiation transfer processes in vegetation canopies  
see 2-stream model by Pinty et al. JGR (2006).
- Prepare for the ingestion/assimilation of RS flux products into Land Surface schemes  
Retrieve 2-stream model parameters from RS flux products

# The core of the JRC-TIP

$$J(\mathbf{X}) = \frac{1}{2} \left[ (M(\mathbf{X}) - \mathbf{d})^T \mathbf{C}_d^{-1} (M(\mathbf{X}) - \mathbf{d}) + (\mathbf{X} - \mathbf{X}_{prior})^T \mathbf{C}_{X_{prior}}^{-1} (\mathbf{X} - \mathbf{X}_{prior}) \right]$$

Model parameters

2-stream model

measurements

Parameter knowledge

Uncertainty measurements

Uncertainty parameters

- Computer optimized **Adjoint** and **Hessian model** of cost function from automatic differentiation technique
- Assume **Gaussian** theory
- Posterior **uncertainties** on retrieved parameters are estimated from the curvature of  $J(\mathbf{X})$



# OUTPUTS: posterior knowledge

- PDFs of **all** 2-stream model parameters:

$$PDF(\mathbf{X}) \approx \exp\left(-\frac{1}{2}(\mathbf{X} - \mathbf{X}_{post})^T \mathbf{C}_{X_{post}}^{-1} (\mathbf{X} - \mathbf{X}_{post})\right)$$

a posteriori uncertainty covariance matrix

- Assessment of **all fluxes** predicted by the 2-stream model and their associated uncertainty:

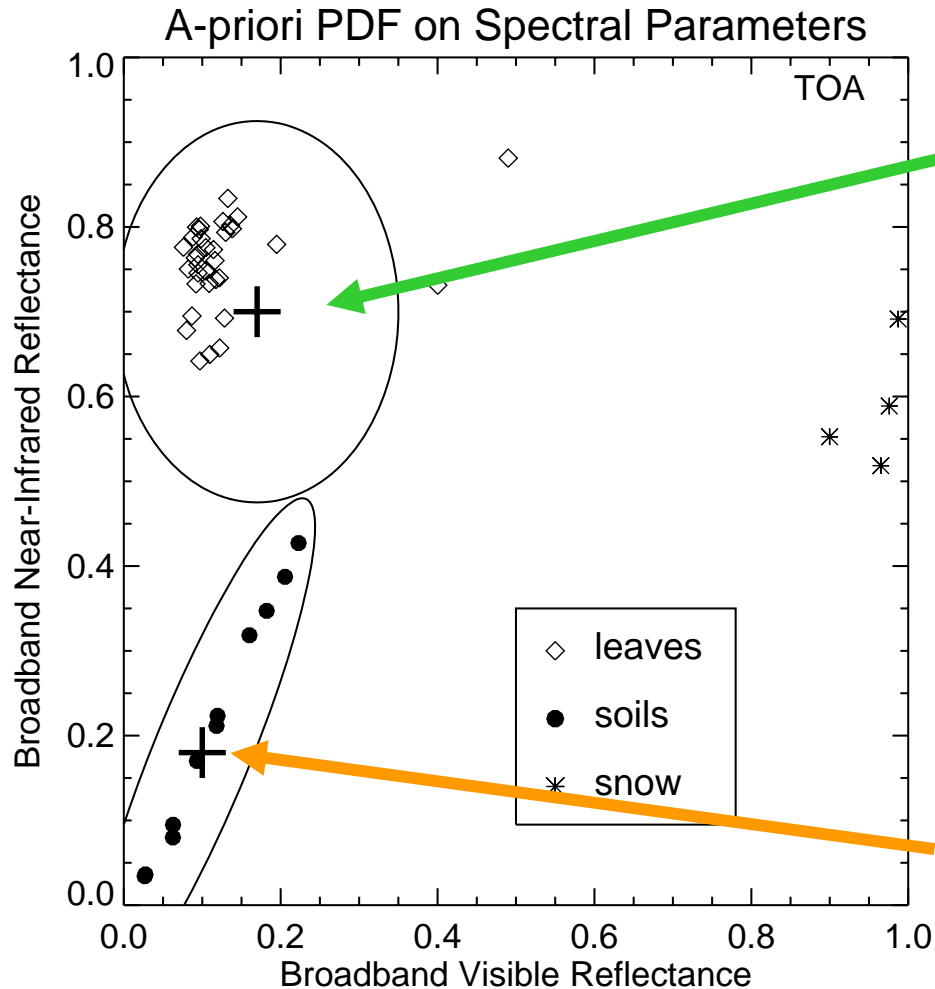
$$\mathbf{C}_{post}^{Flux} = \mathbf{G} \mathbf{C}_{X_{post}} \mathbf{G}^T$$

# Application results over selected EOS validation sites

Application with measurements set d limited to the visible and near-infrared broadband surface albedos, i.e.,

2 measurements and 7 model parameters to be retrieved

# prior knowledge on model parameters

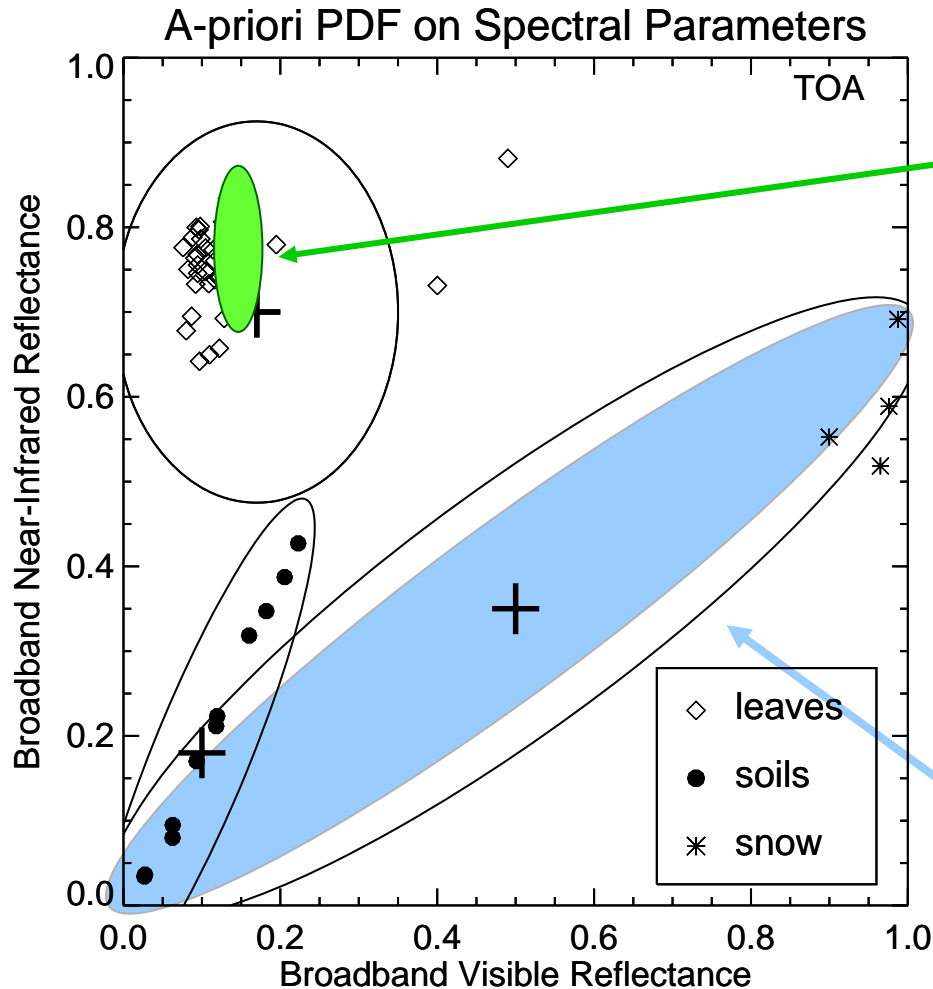


$$LAI_{prior} = 1.5$$

$$\sigma_{prior}(LAI) = 5.0$$



# prior knowledge on model parameters



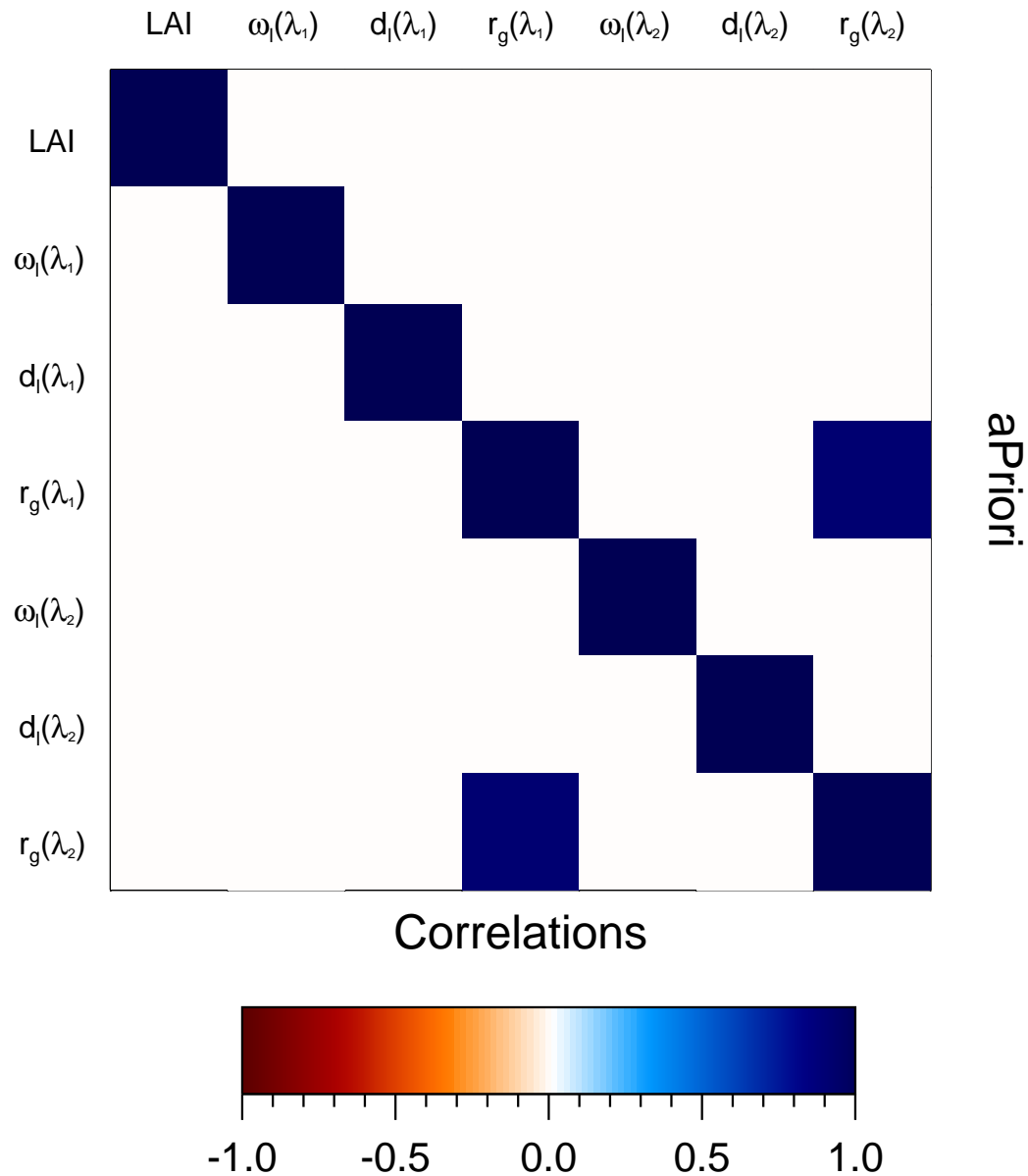
*a priori* 'green' leaves

$$LAI_{prior} = 1.5$$

$$\sigma_{prior}(LAI) = 5.0$$

*in case snow occurs*

# *a priori* covariance matrix



# Example of application results

## Site identification and characteristics

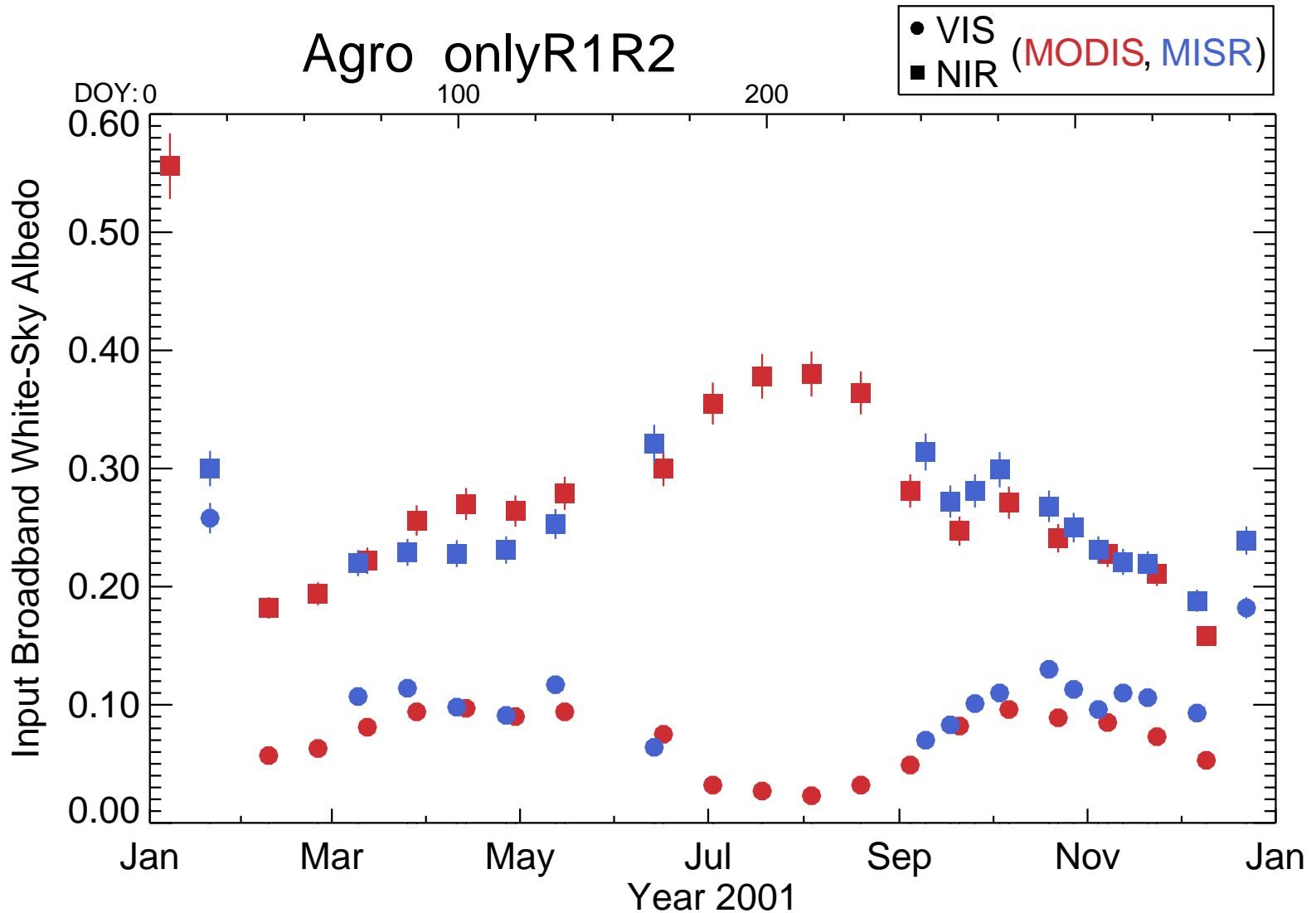
|                    |                        |   |   |
|--------------------|------------------------|---|---|
| Dahra<br>(Senegal) | 15° 22' N<br>15° 26' W | Semi-arid<br>grass savannah             | Short and<br>homogeneous<br>over 1-2 km                 |
| AGRO<br>(US)       | 40° 00' N<br>88° 17' W | Broadleaf<br>crops and<br>corn/soybean  | Mixed vegetation<br>with different land<br>cover type   |
| Konza<br>(US)      | 39° 04' N<br>96° 33' W | Grassland,<br>shrubland and<br>cropland | Mixed vegetation<br>with different land<br>cover type   |
| Mongu<br>(Zambia)  | 15° 26' S<br>23° 15' E | Mixed<br>shrubland and<br>woodland      | Intermediate<br>height but low<br>density<br>vegetation |



**AGRO**



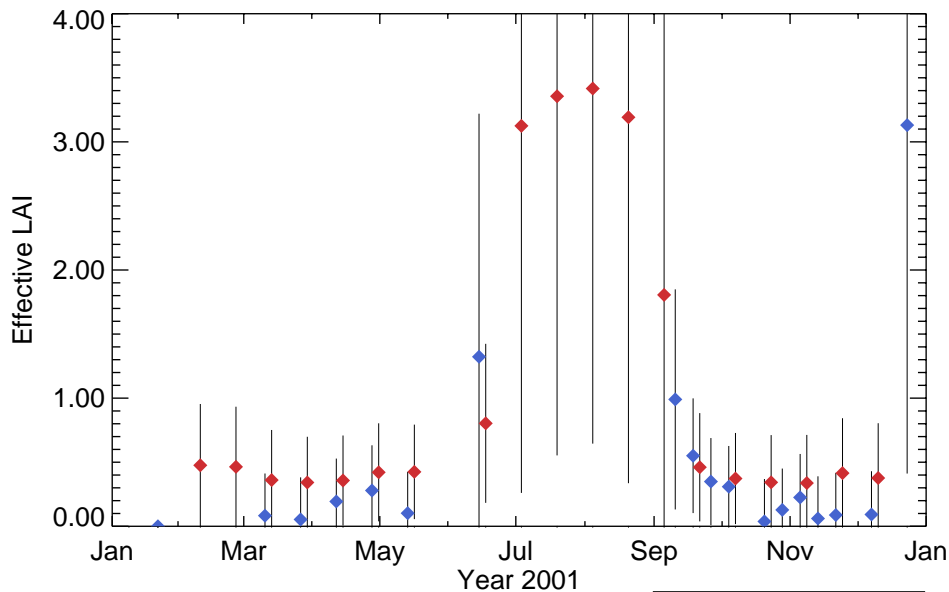
# Application over AGRO: Measurements



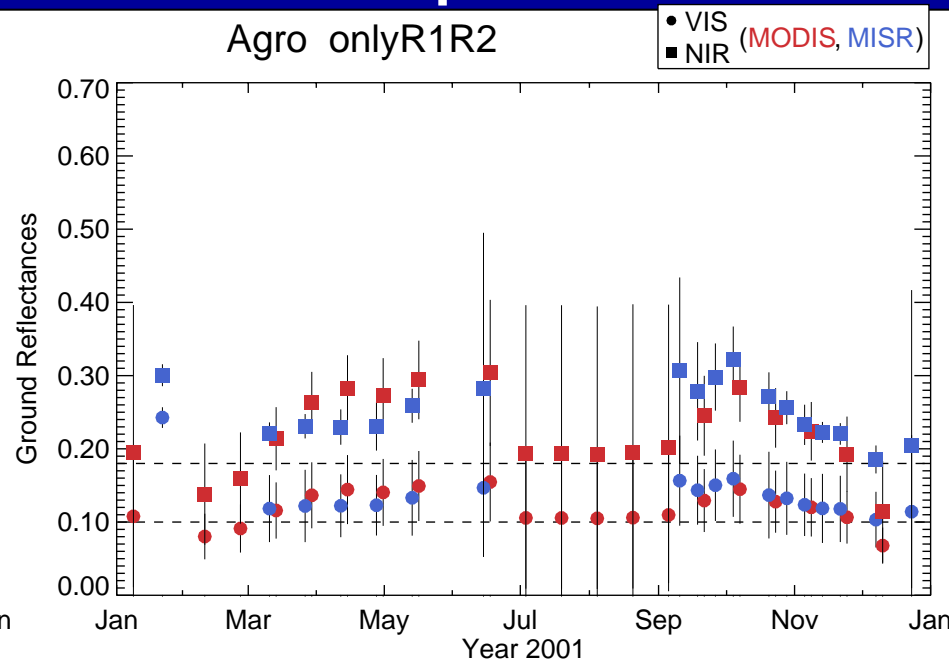


# Application over AGRO: model parameters

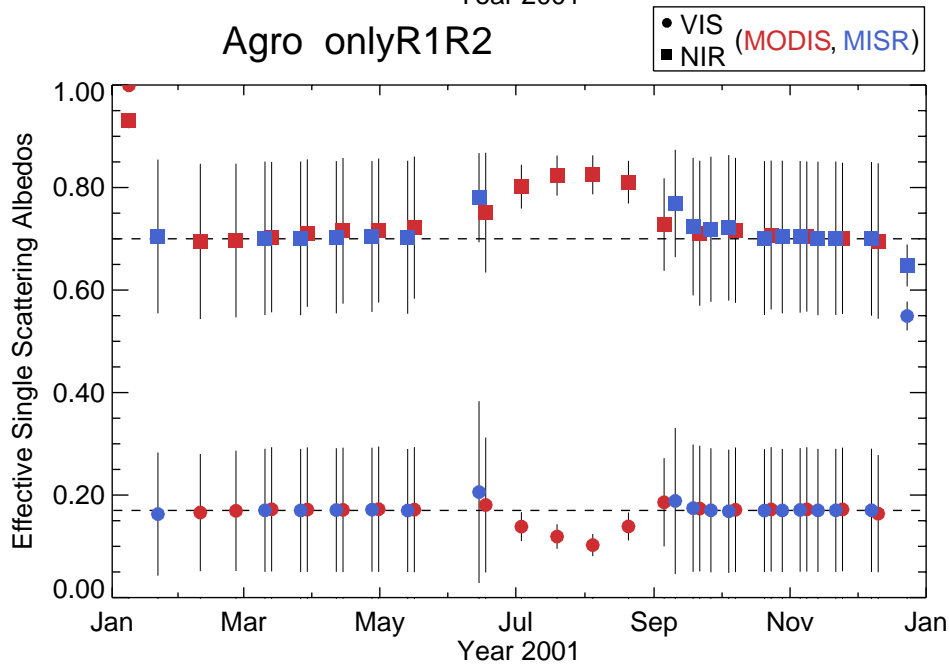
Agro onlyR1R2



Agro onlyR1R2



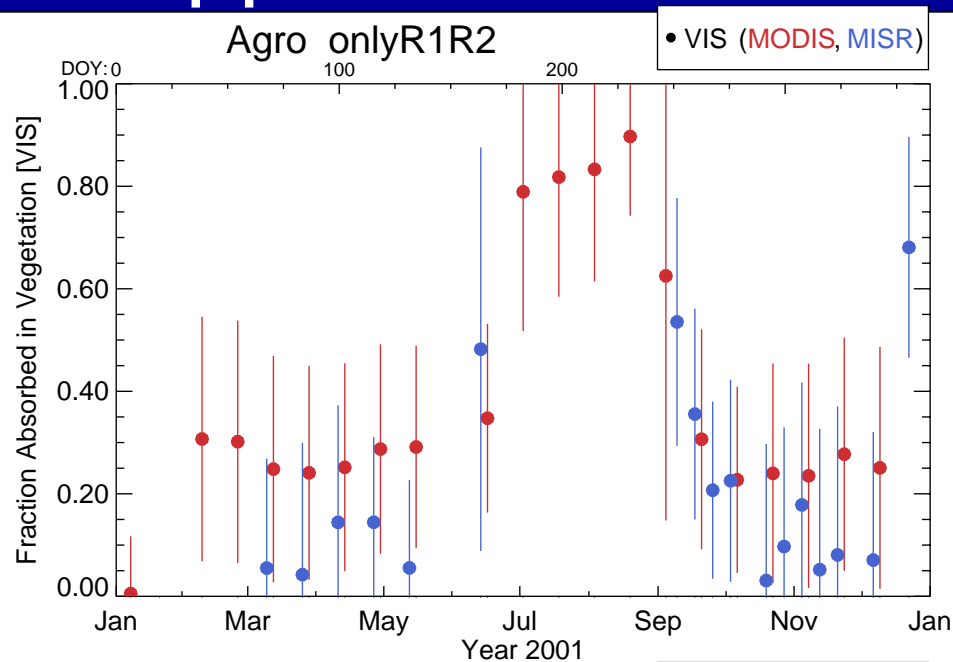
Agro onlyR1R2



2-stream model  
parameters

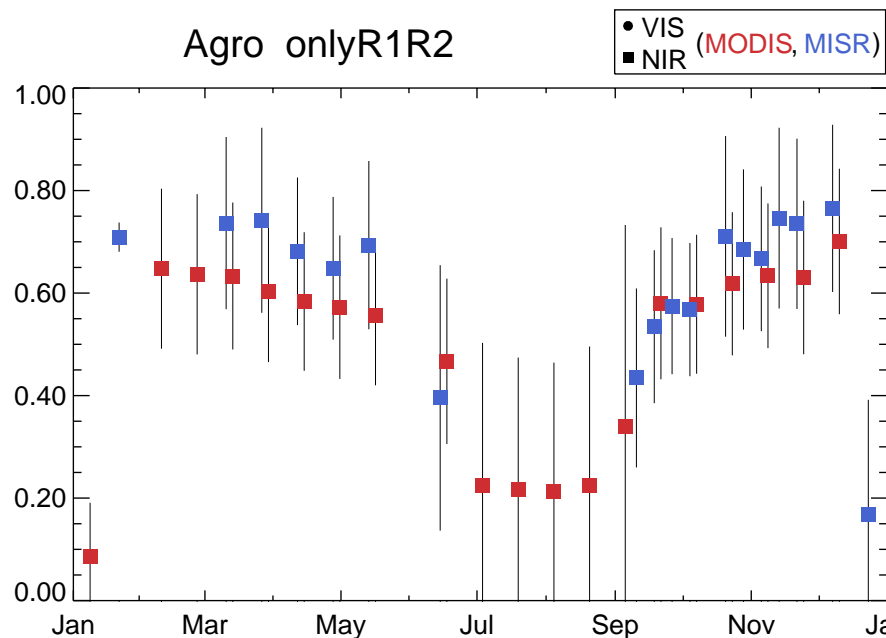
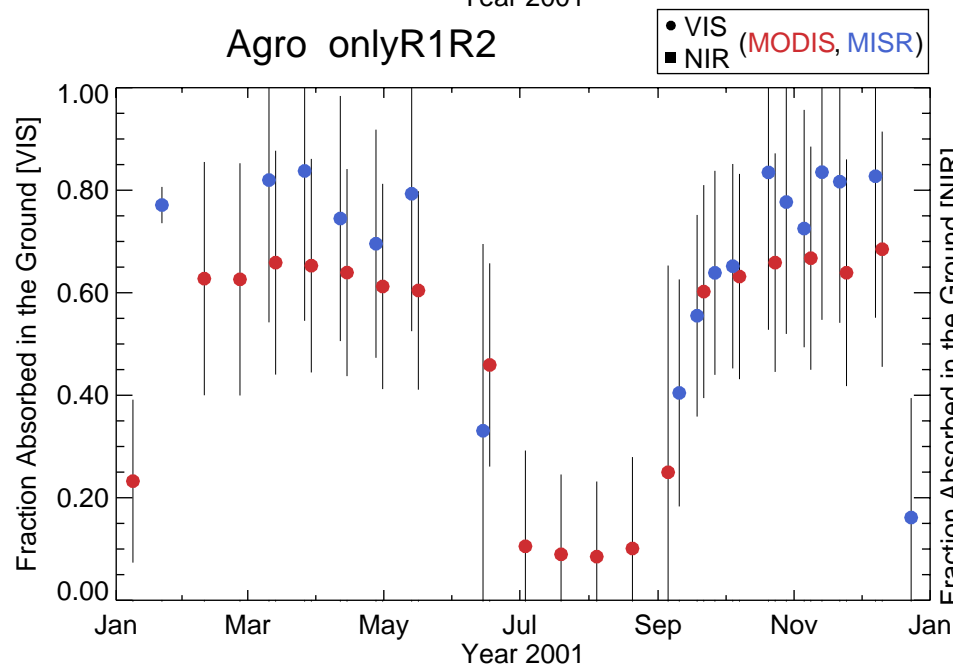
Time-independent  
inversion

# Application over AGRO: Radiant fluxes



2-stream model  
radiant fluxes

Time-independent  
inversion

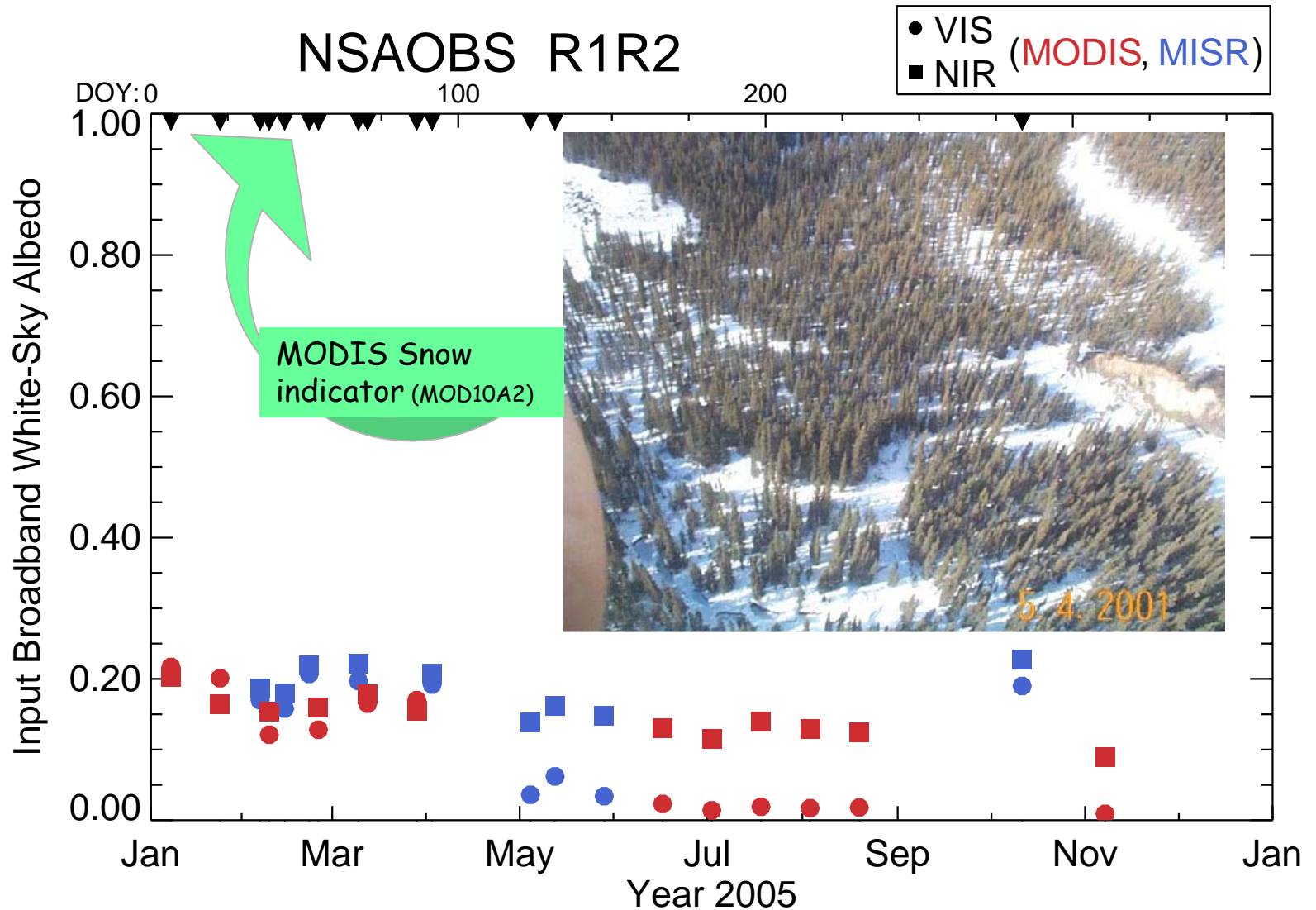


# Application over BOREAS NSA-OBS



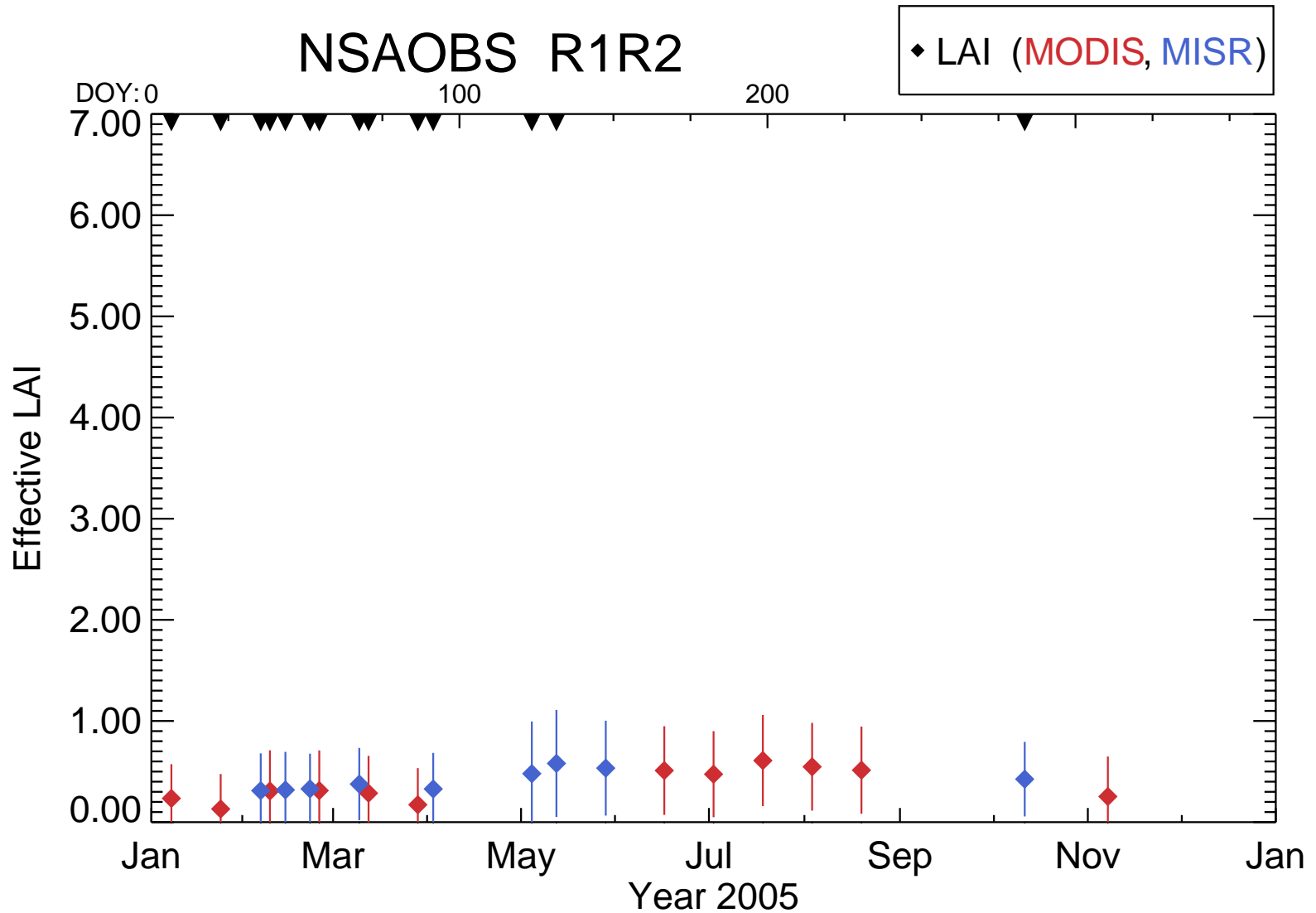


# Application over BOREAS: Measurements

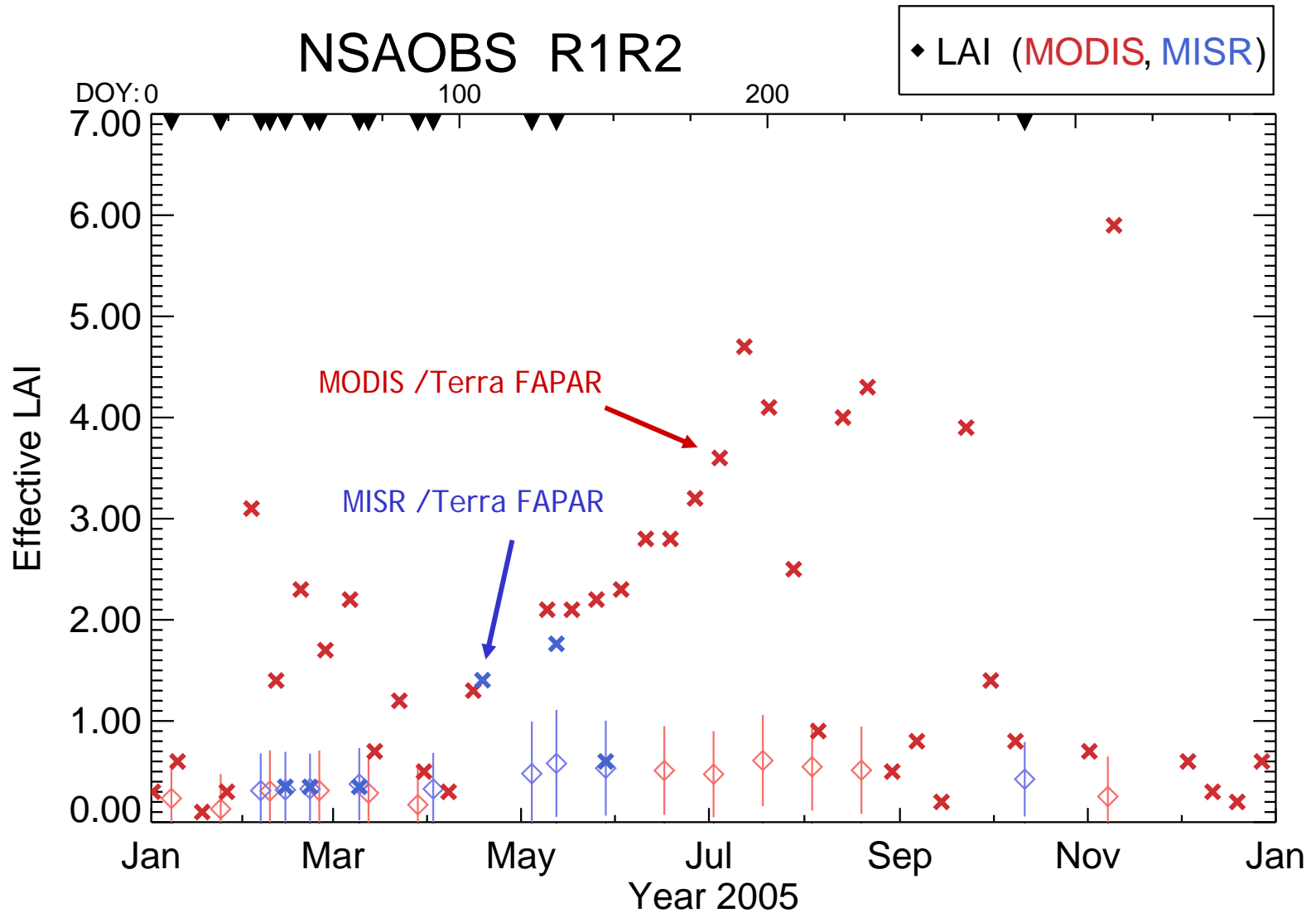


Specified uncertainty on BHRs is 5% relative

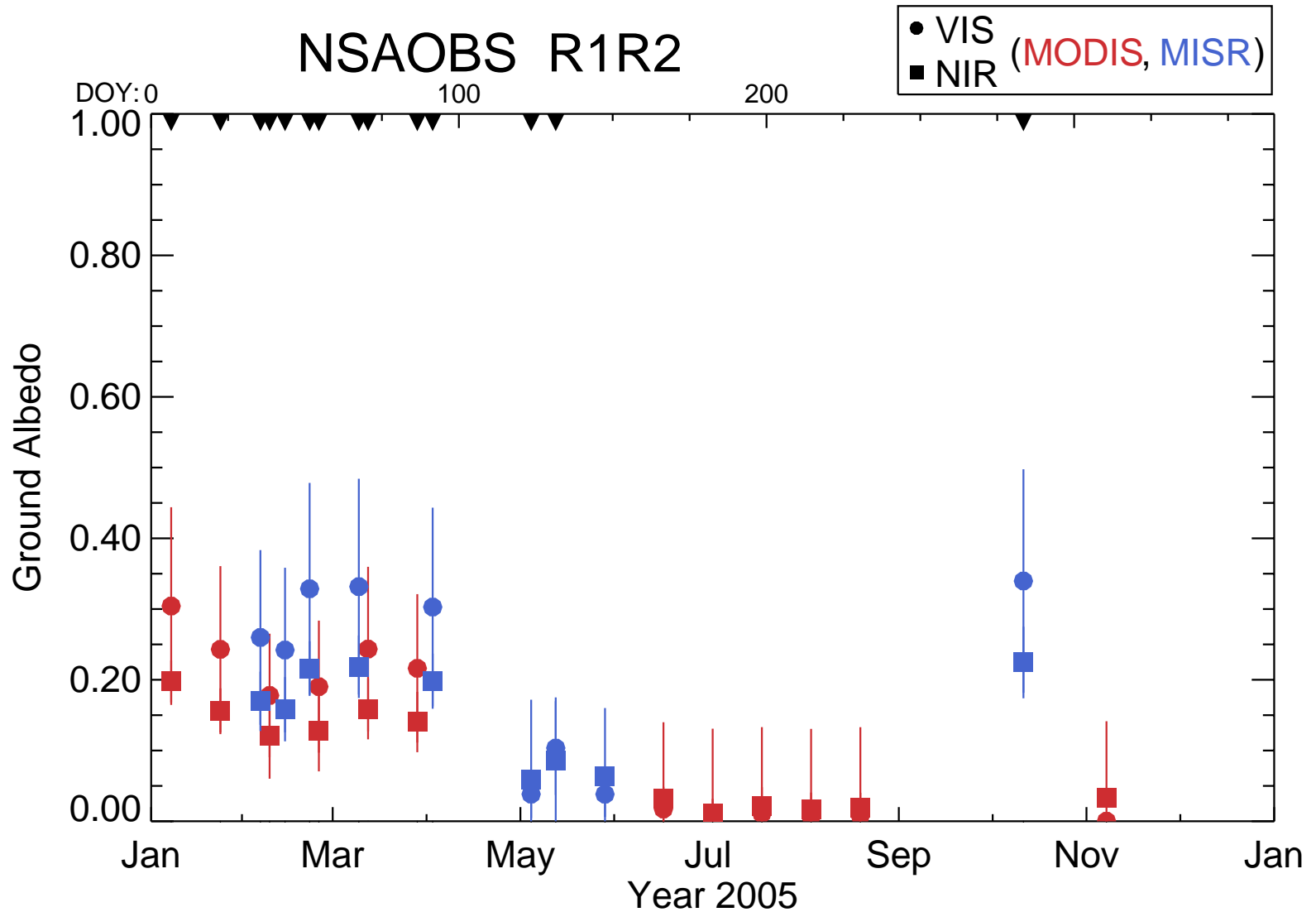
# Application over NSAOBS: model parameters



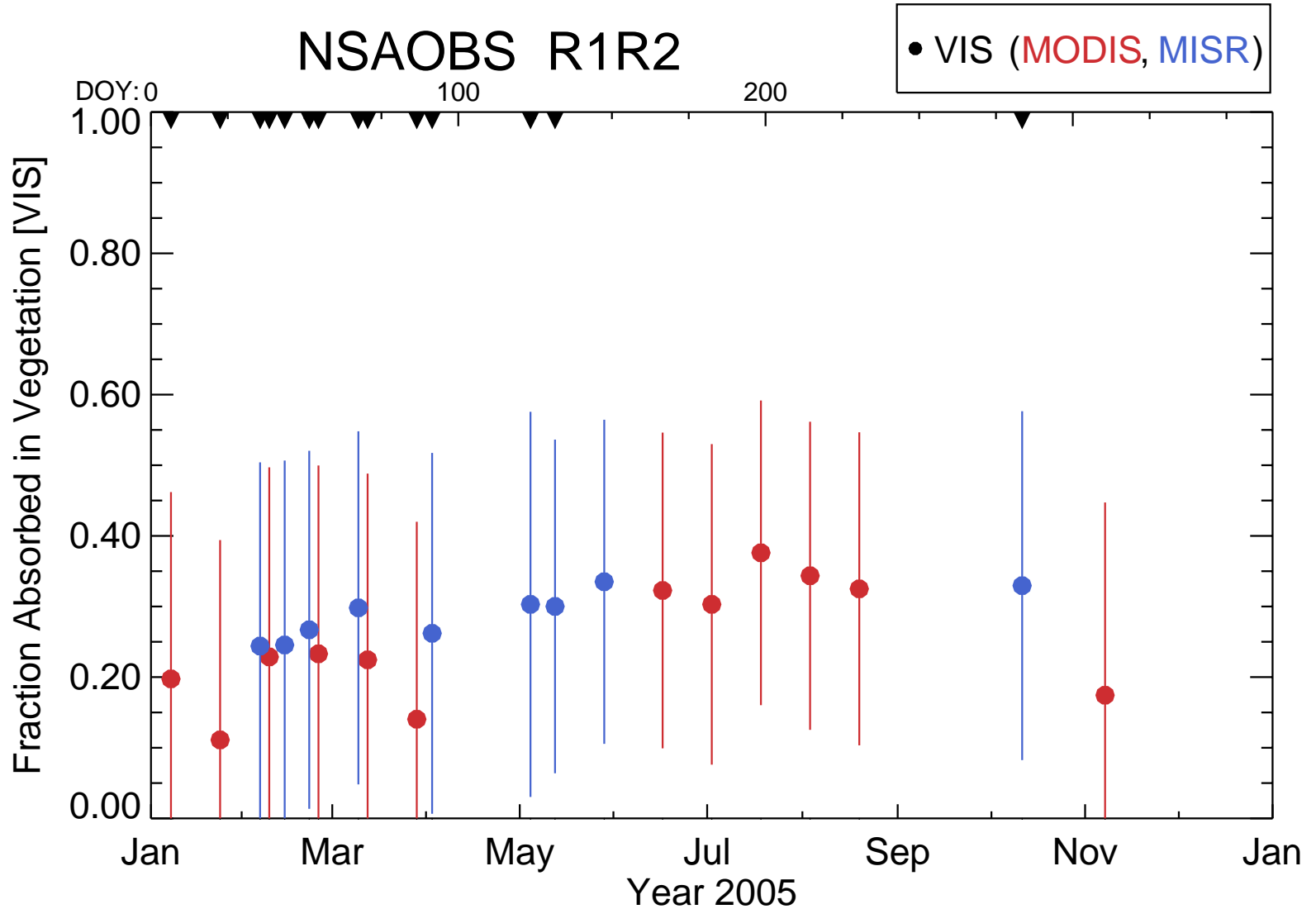
# Application over NSAOBS: model parameters



# Application over NSAOBS: model parameters

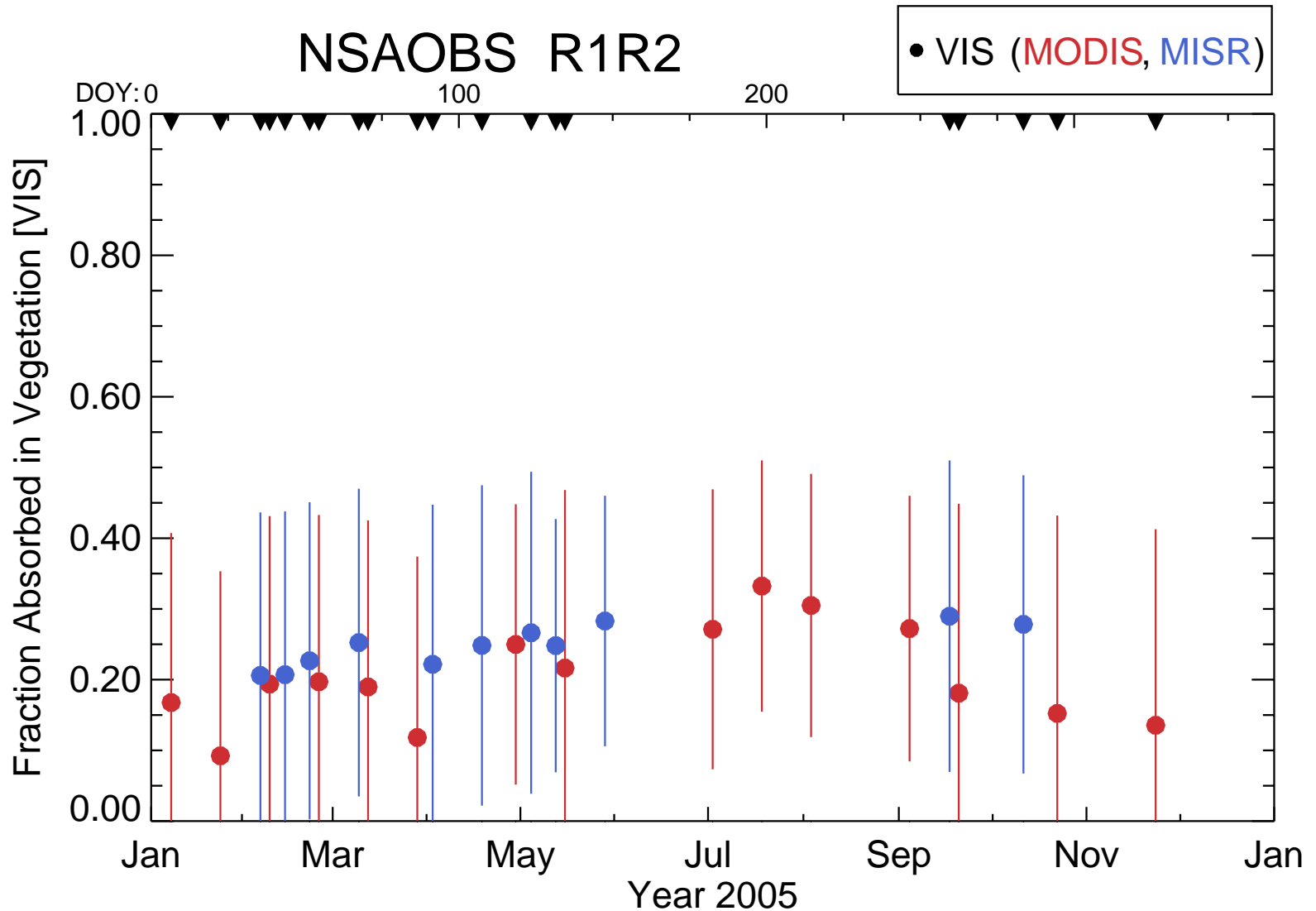


# Application over NSAOBS: radiant fluxes



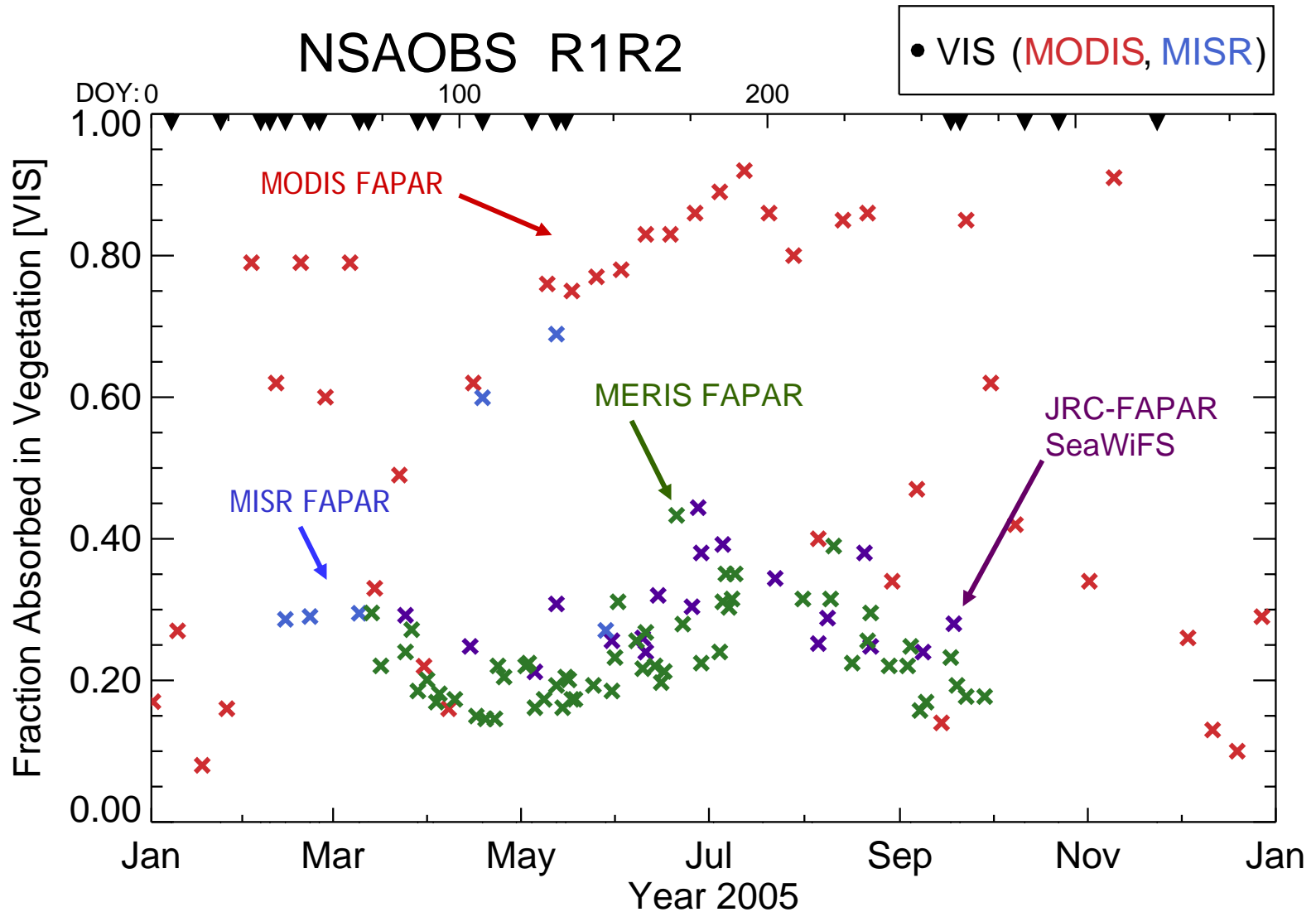


# Application over NSAOBS: radiant fluxes



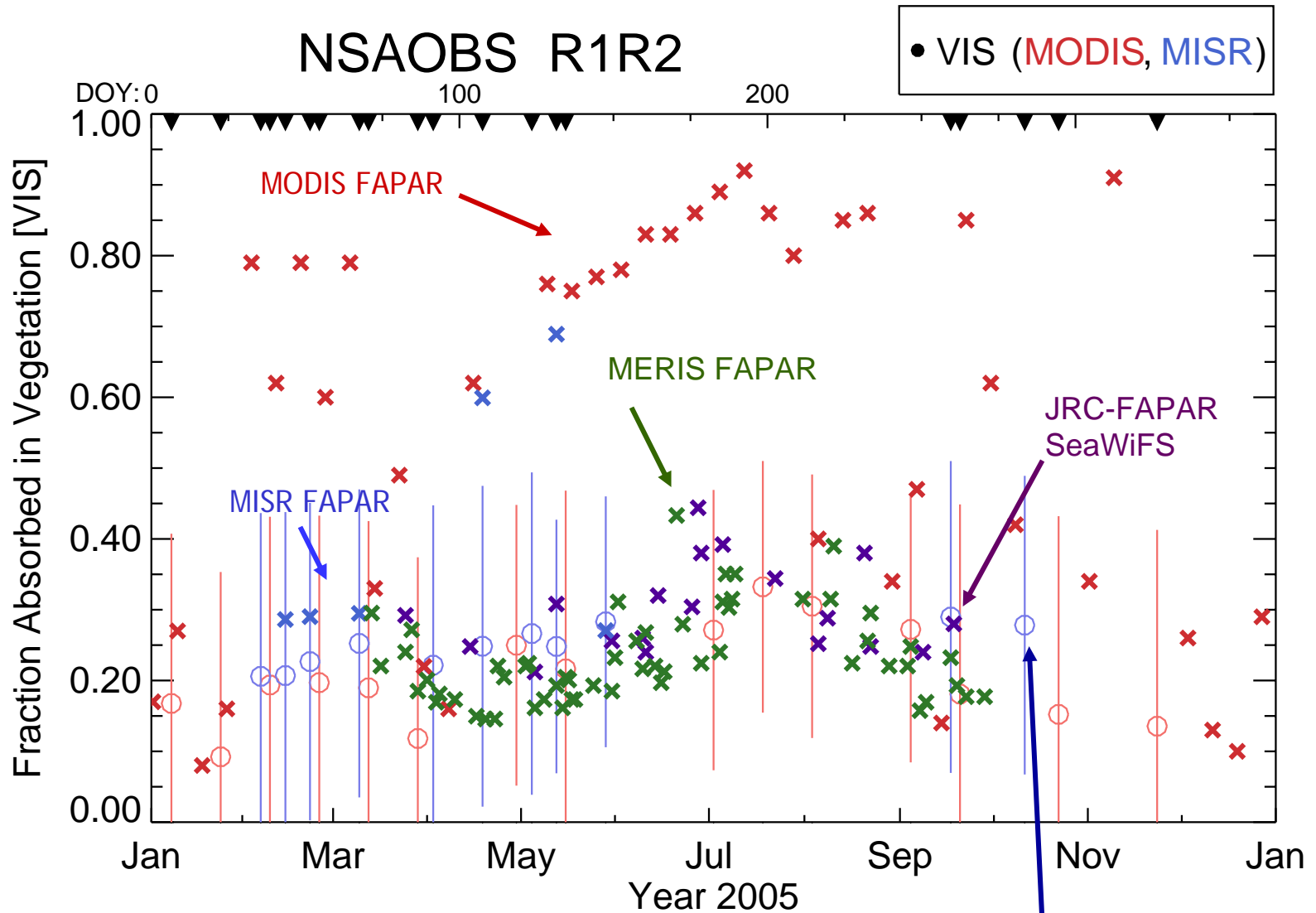
*a priori* 'green' leaves

# Application over NSAOBS: radiant fluxes



*a priori* 'green' leaves

# Application over NSAOBS: radiant fluxes



*a priori* 'green' leaves

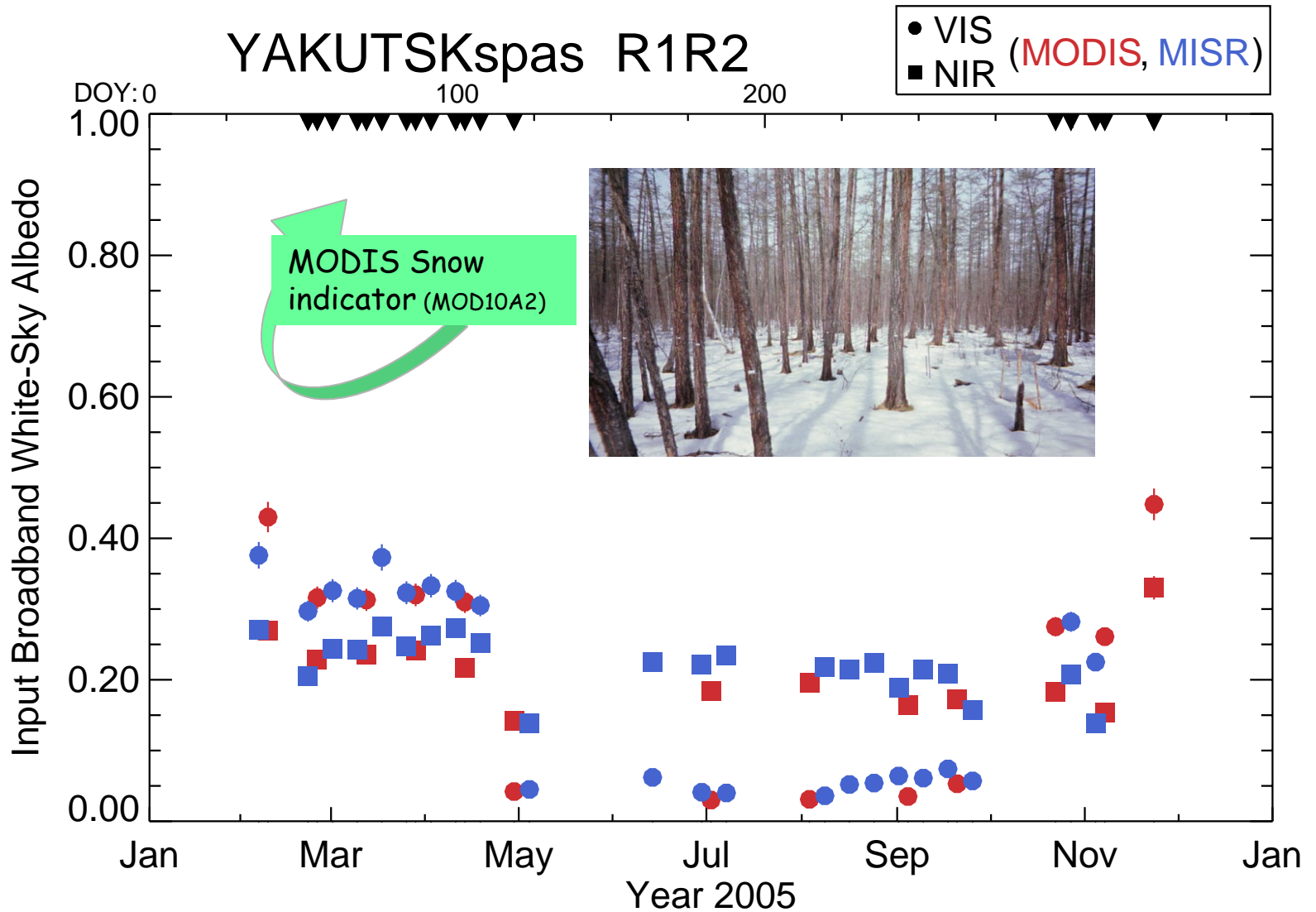
our inversion based on  
TERRA albedos

# Application over Yakustk Forest: a deciduous needle-leaf larch forest



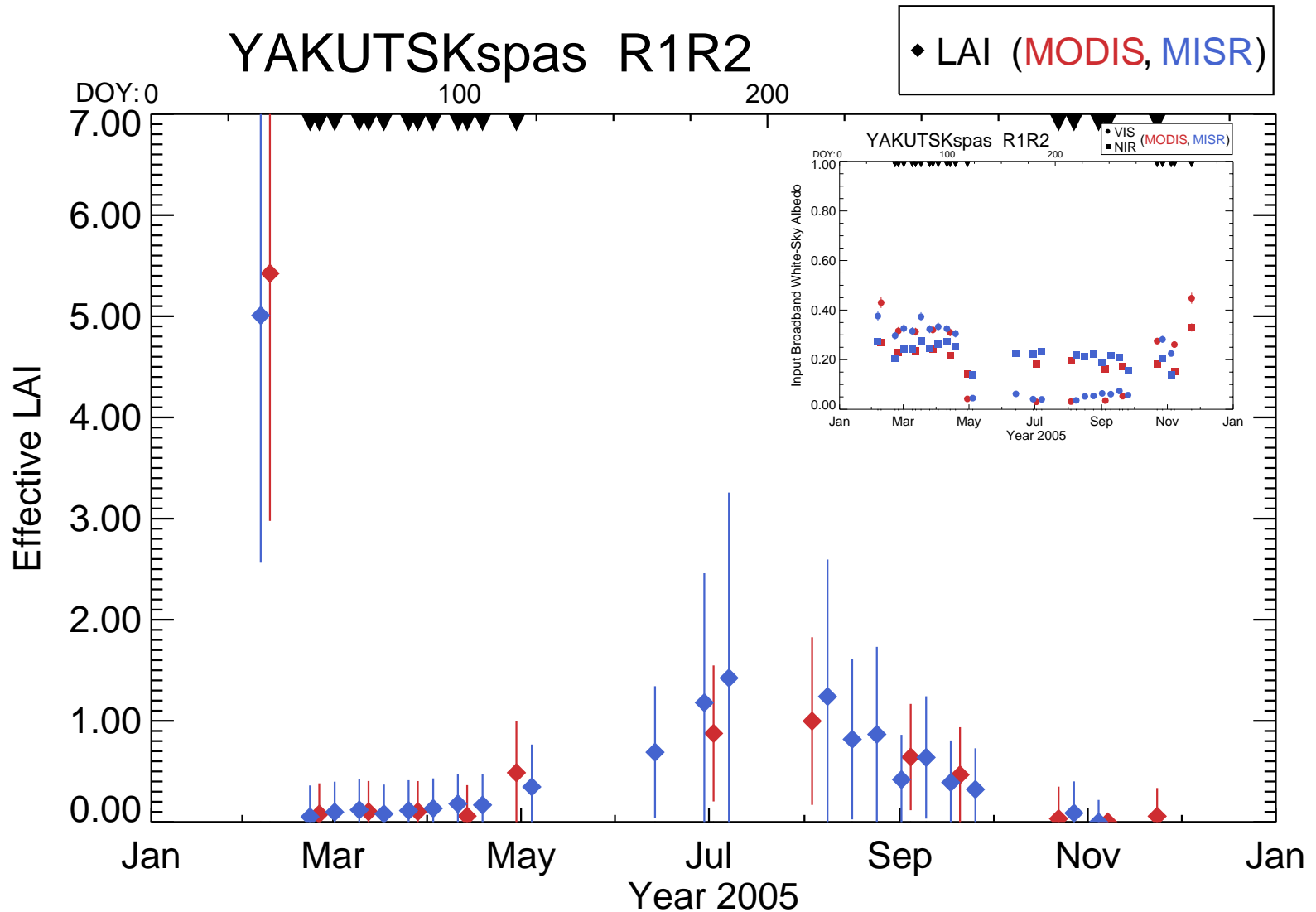
Courtesy of Dr. R. Suzuki

# Application over Yakutsk: Measurements

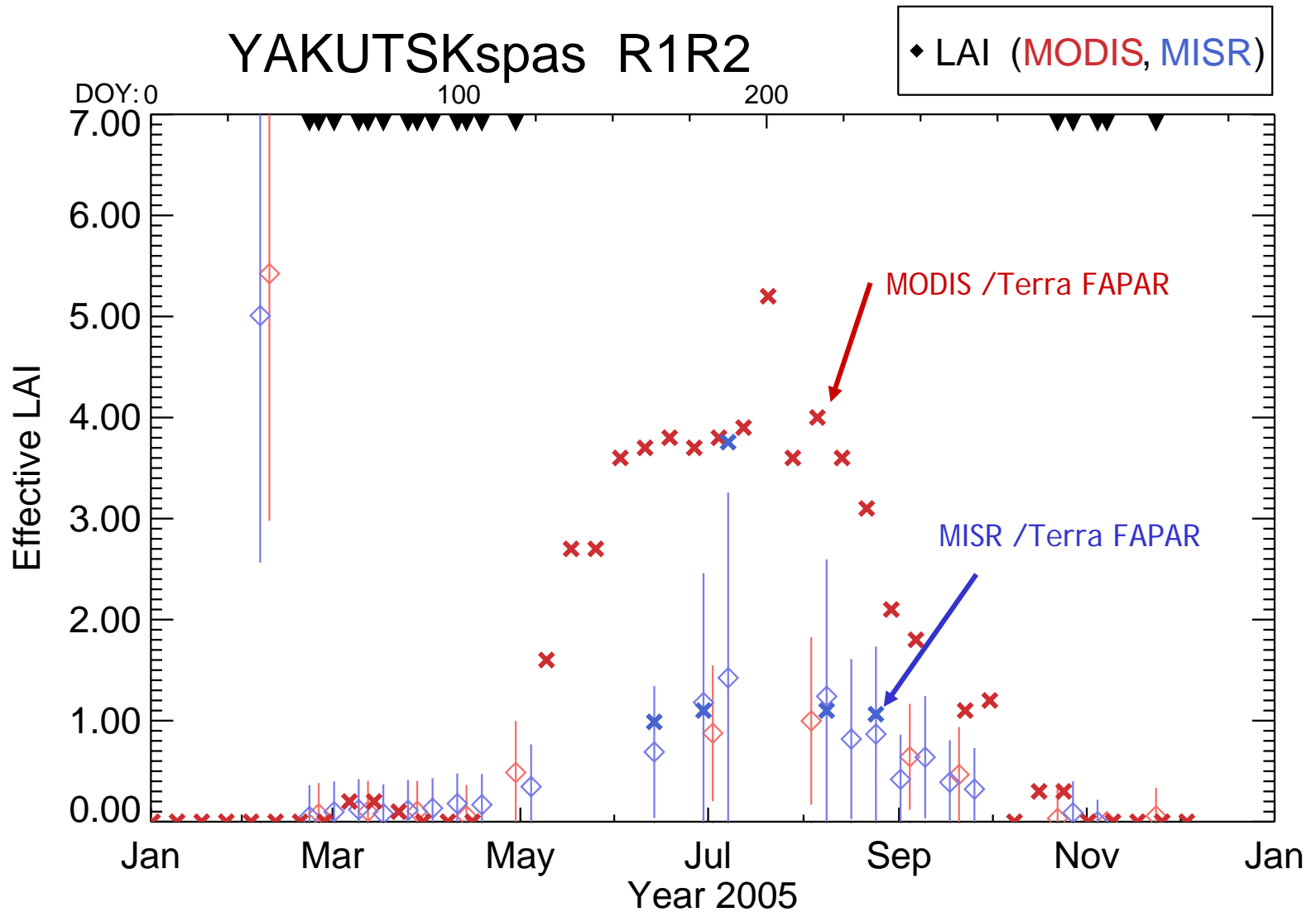


Specified uncertainty on BHRs is 5% relative

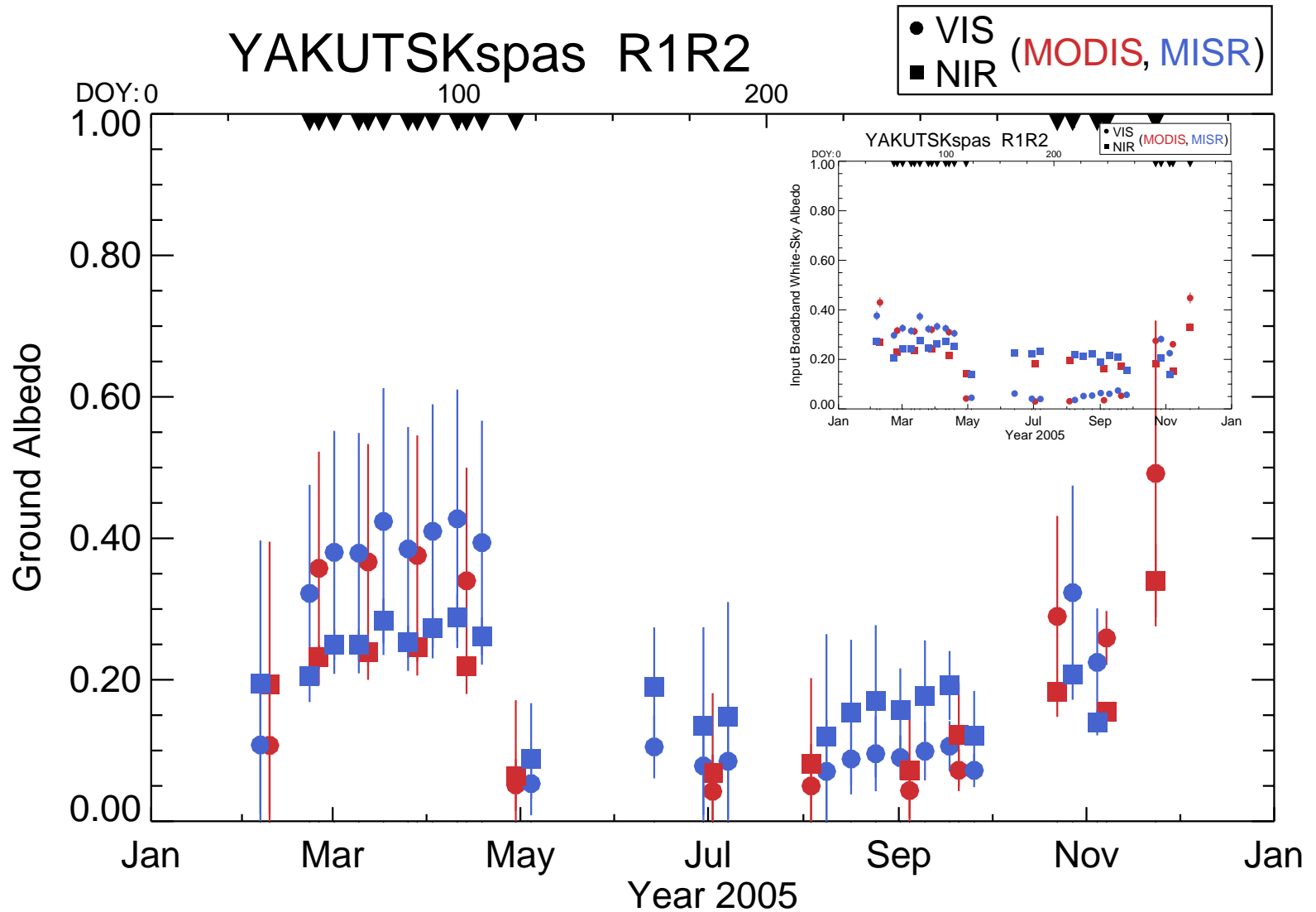
# Application over Yakutsk: model parameters



# Application over Yakutsk: model parameters

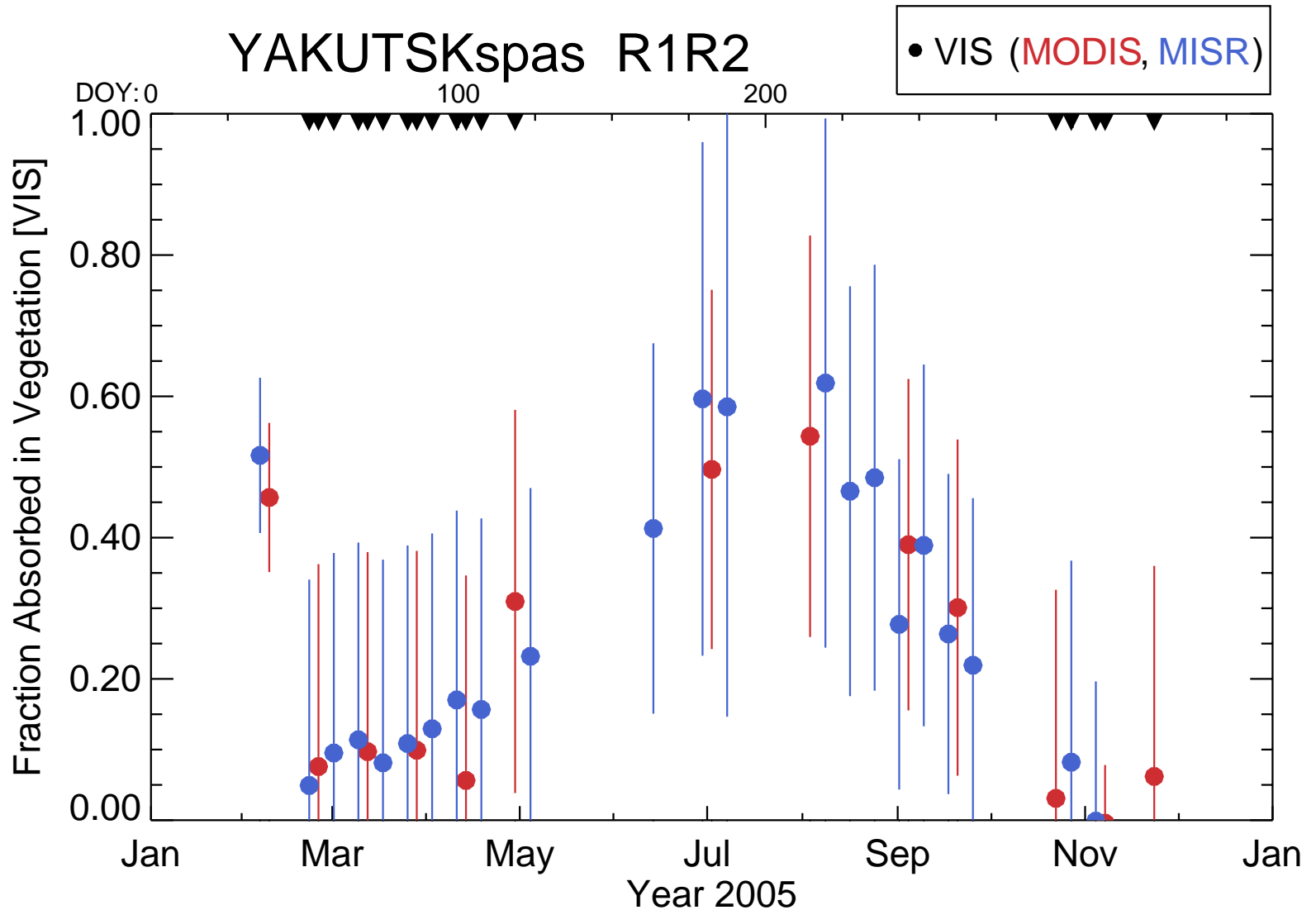


# Application over Yakutsk: model parameters

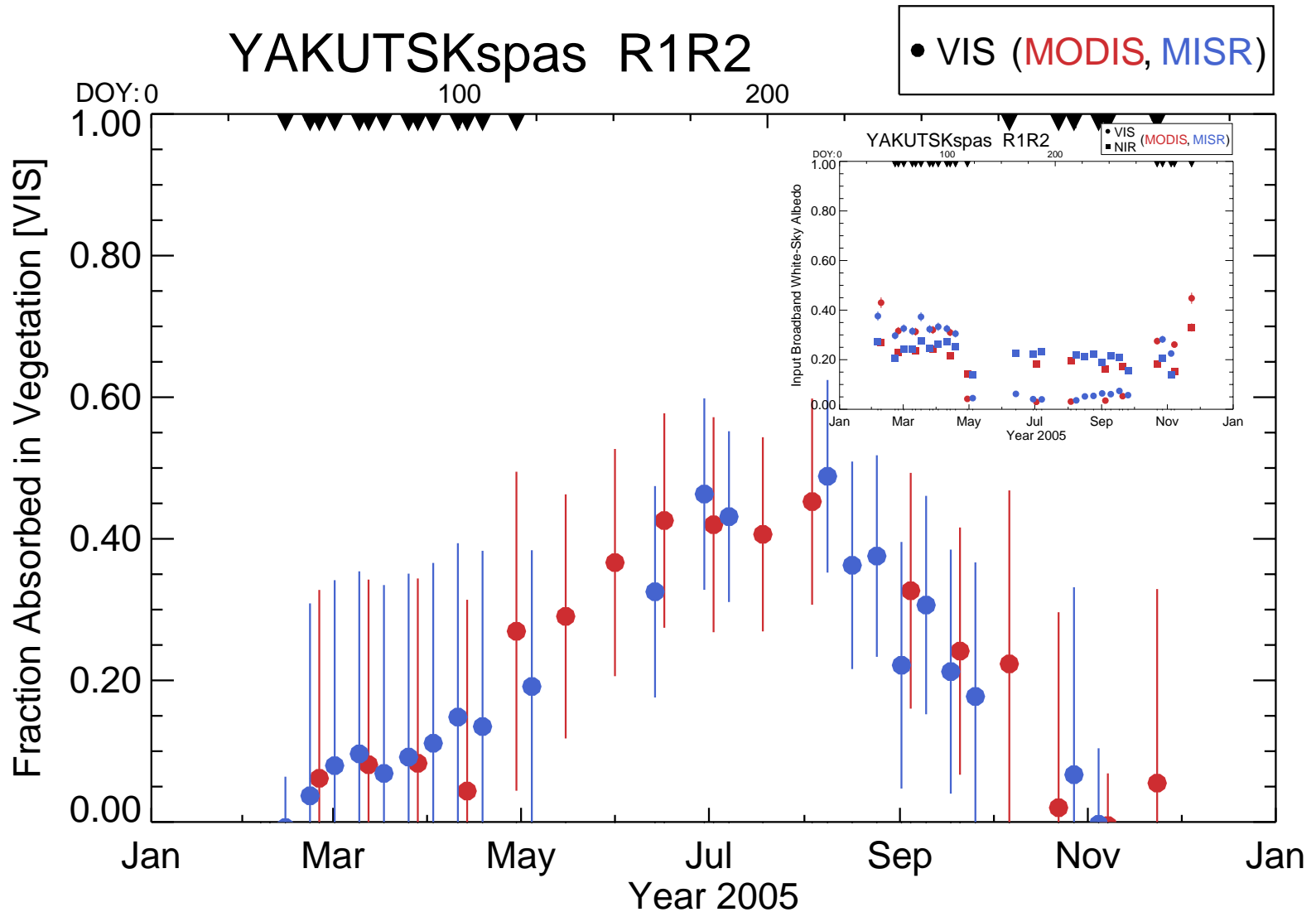




# Application over Yakustk: radiant fluxes

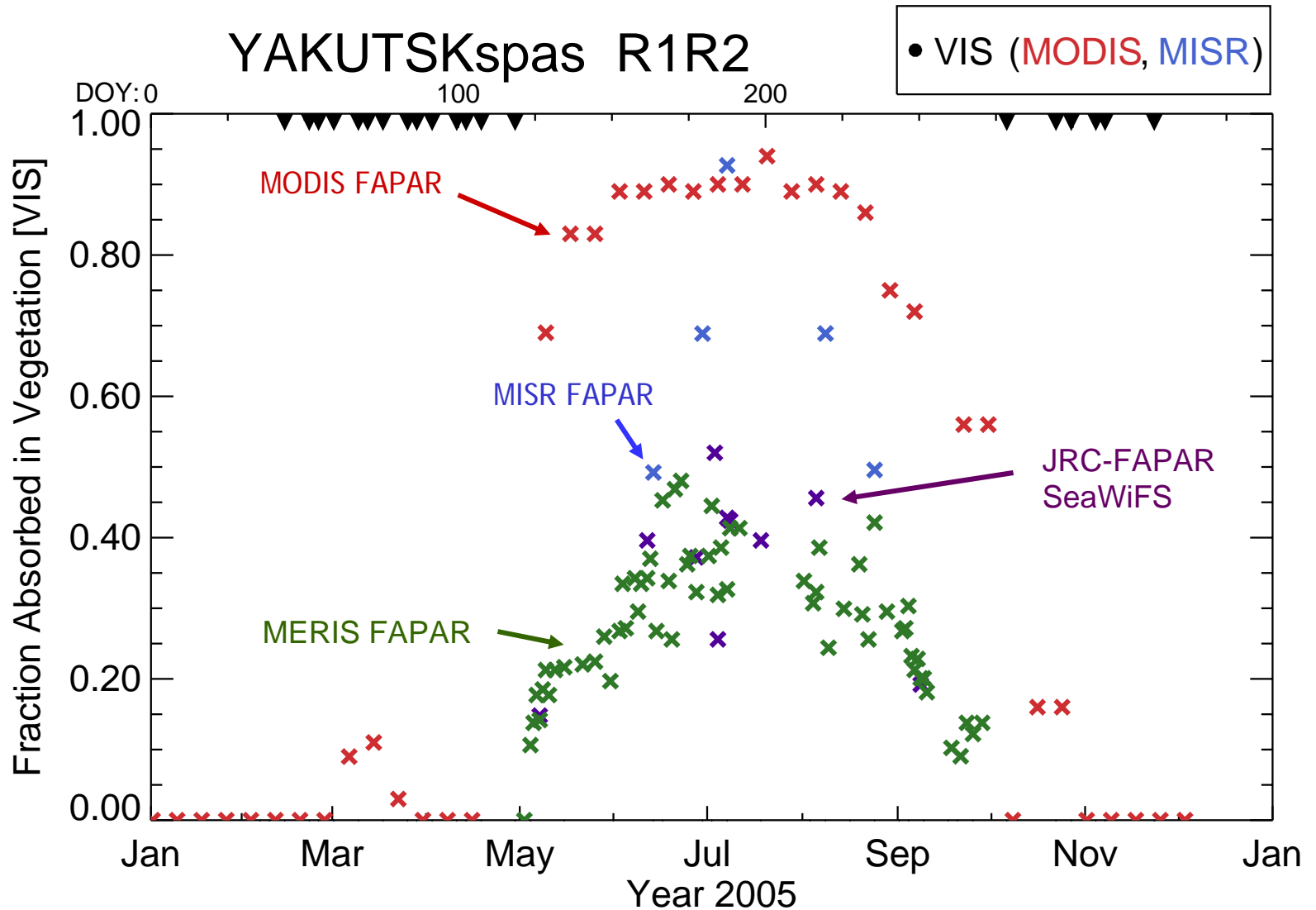


# Application over Yakutsk: radiant fluxes

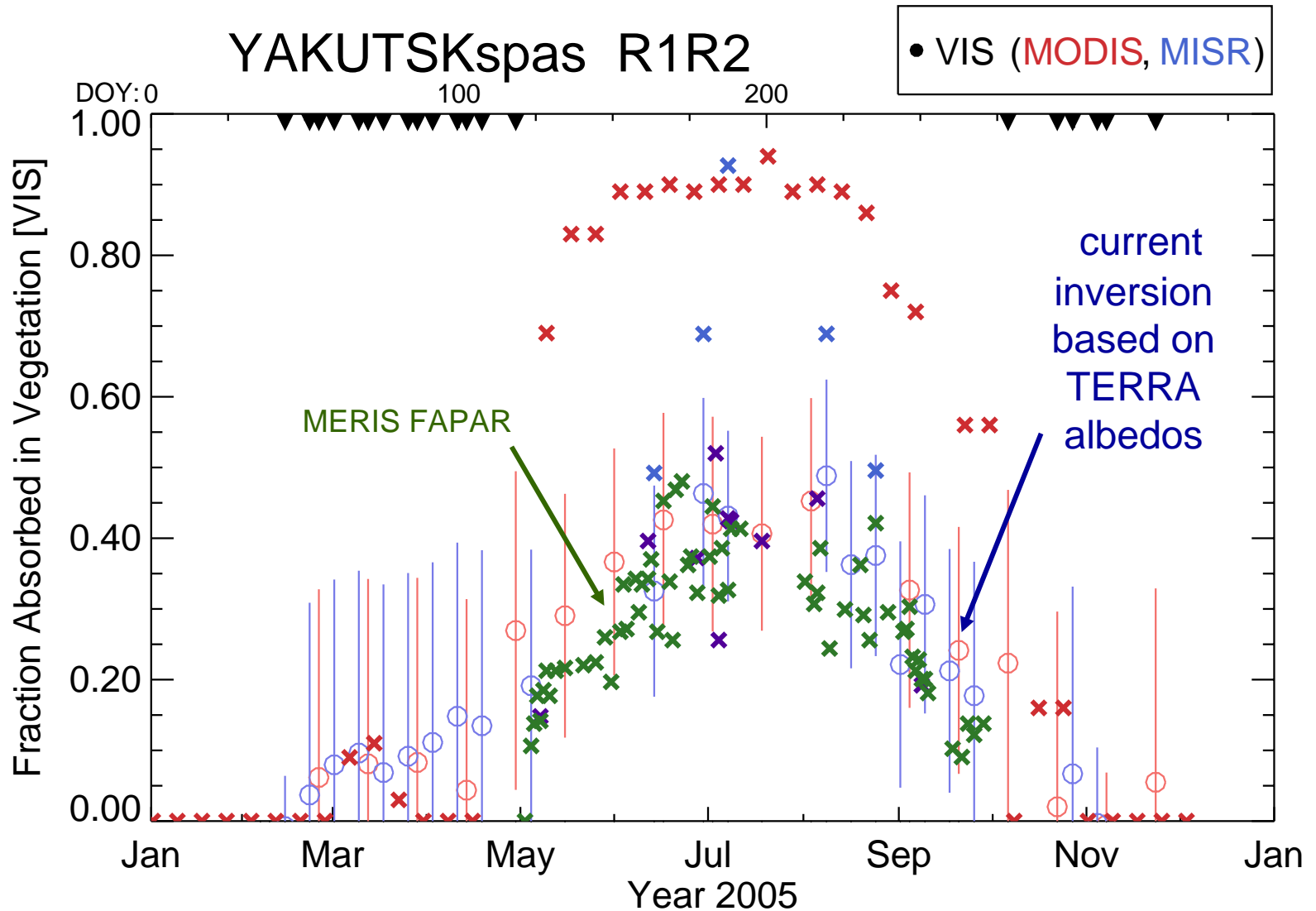


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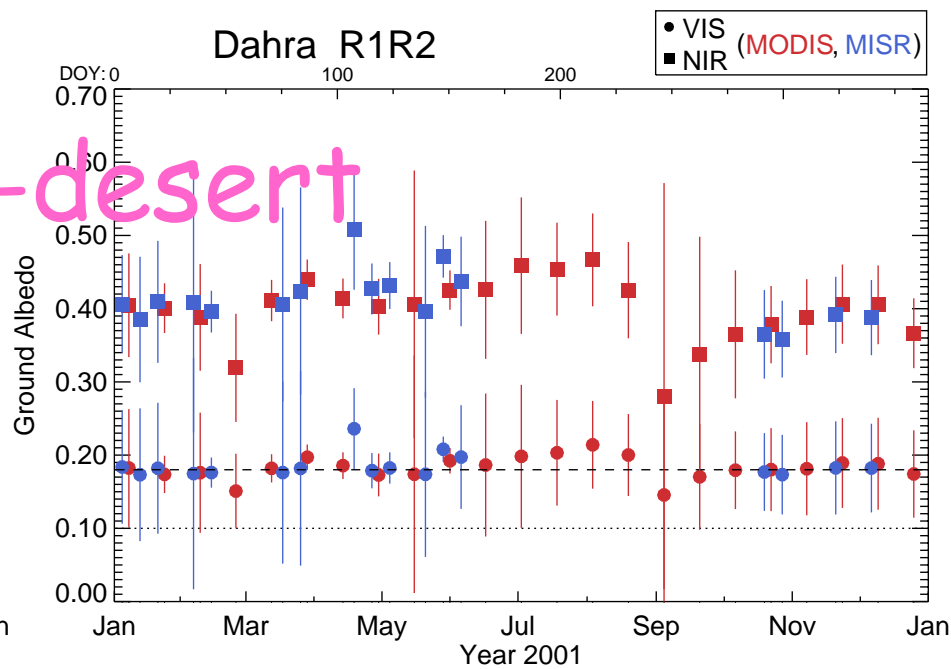
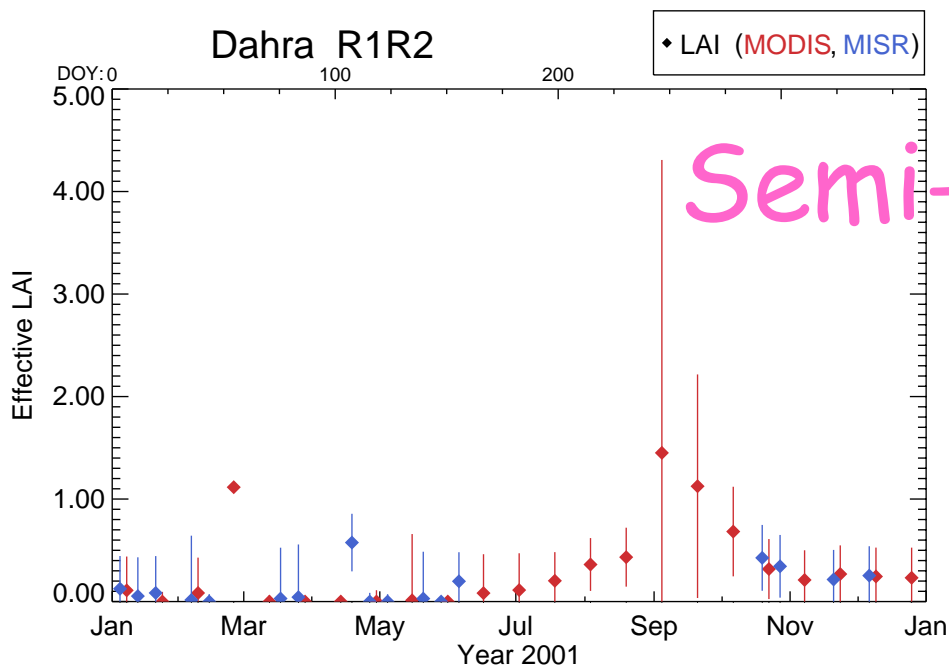
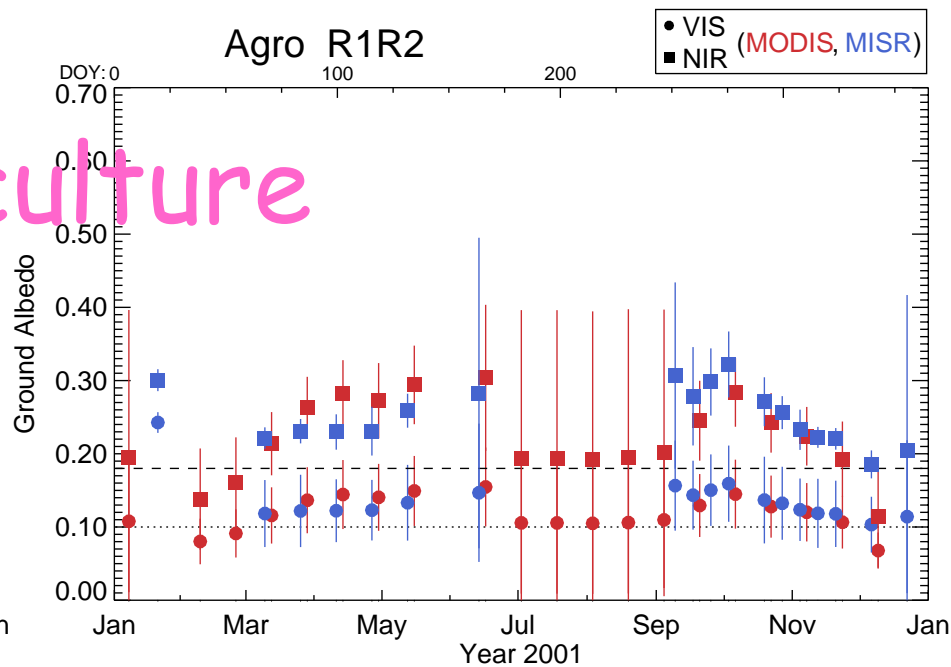
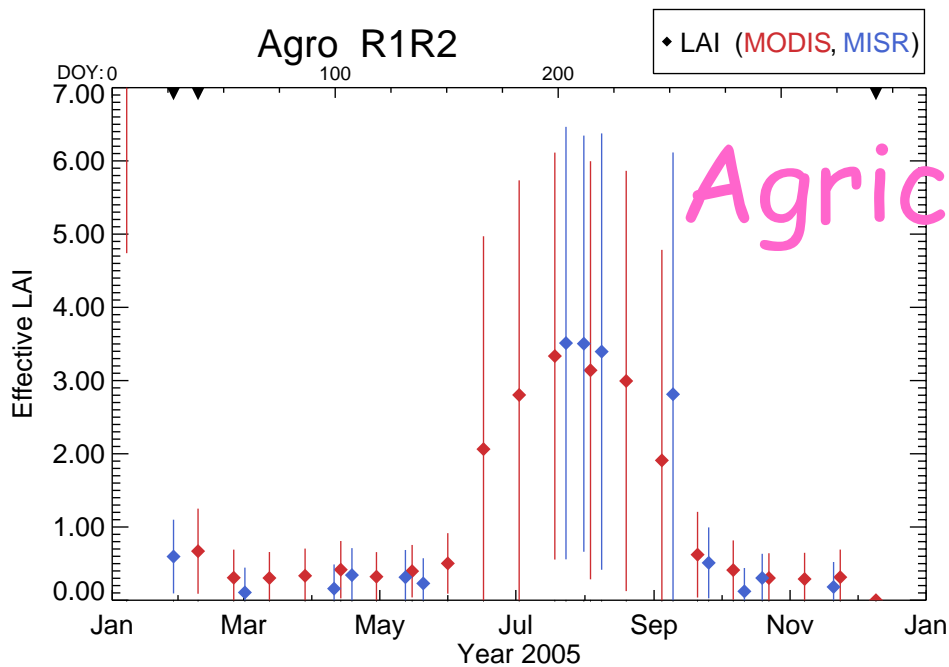
# Application over Yakustk: radiant fluxes

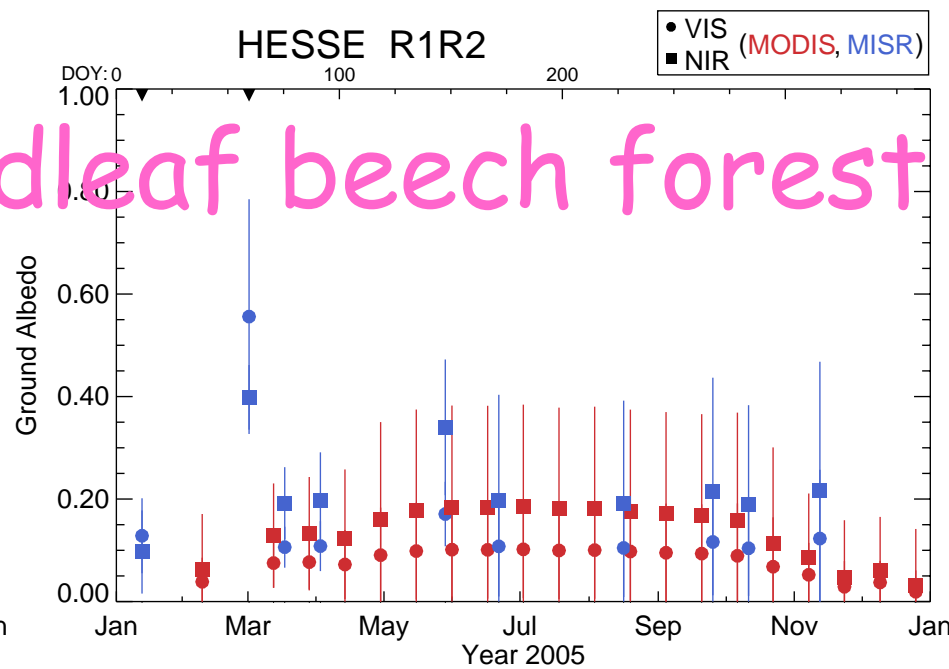
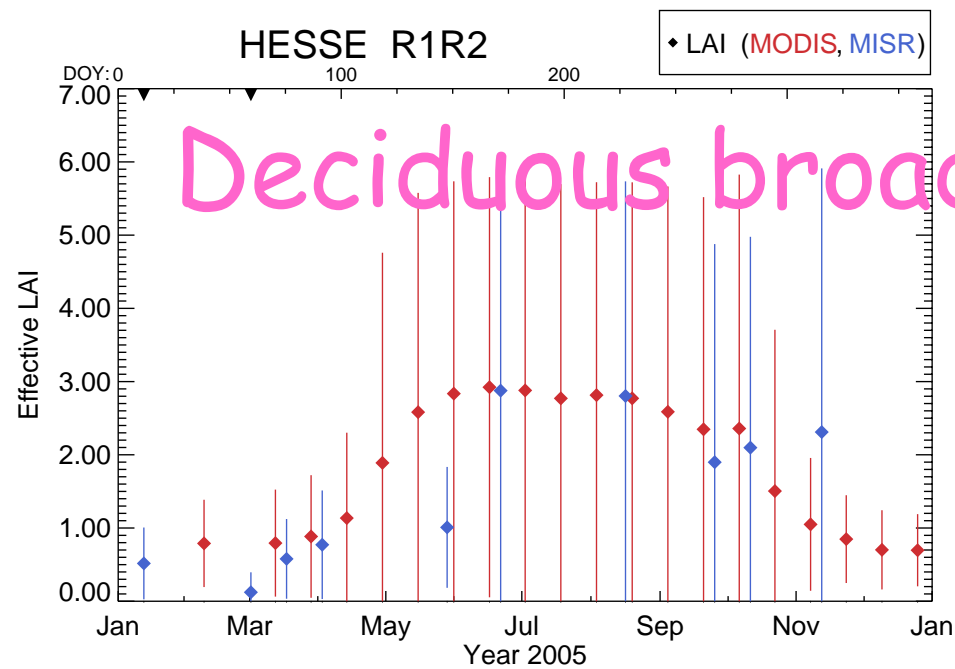
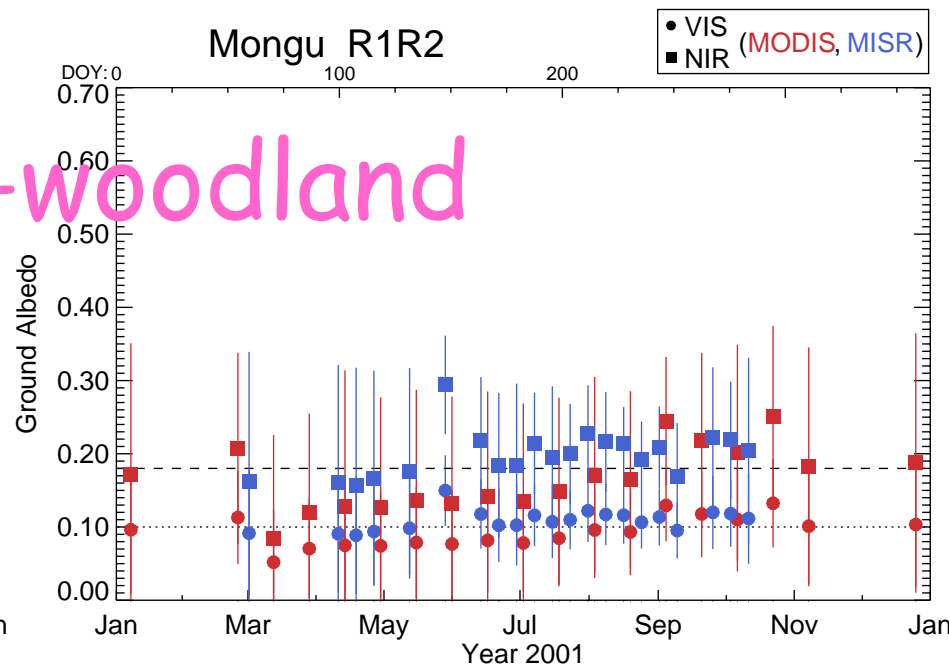
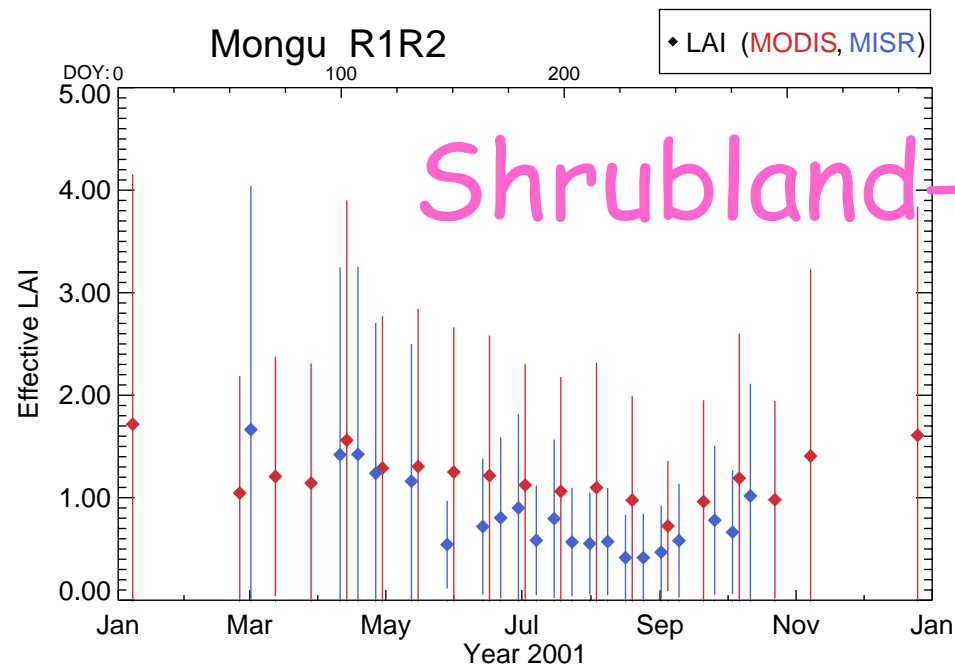


# Application over Yakustk: radiant fluxes



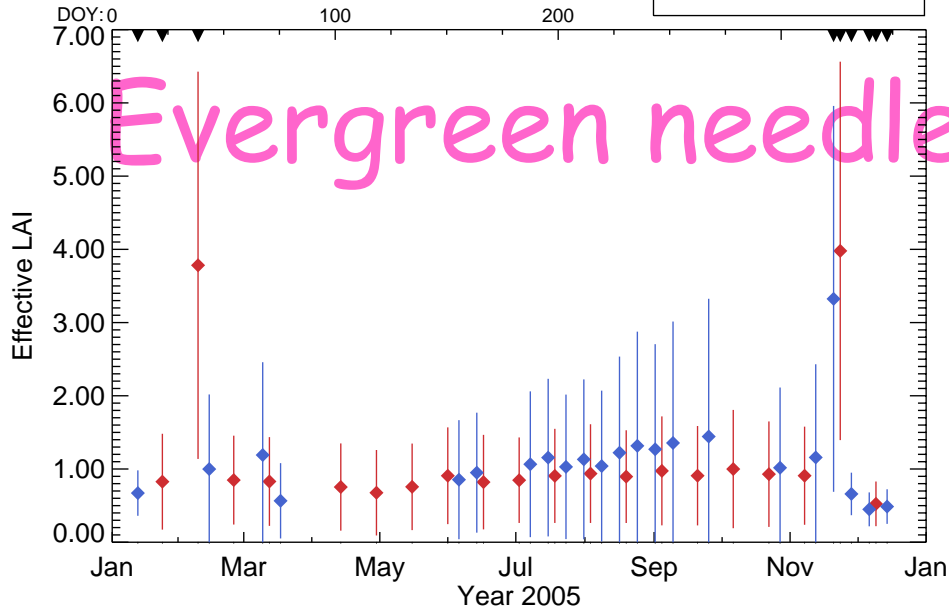
*a priori* 'green' leaves





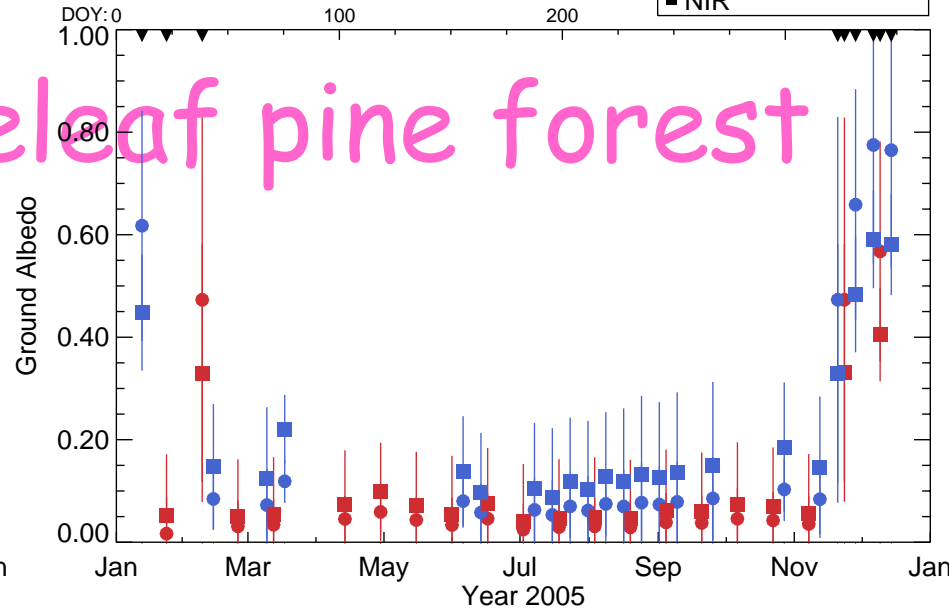
METL R1R2

◆ LAI (MODIS, MISR)



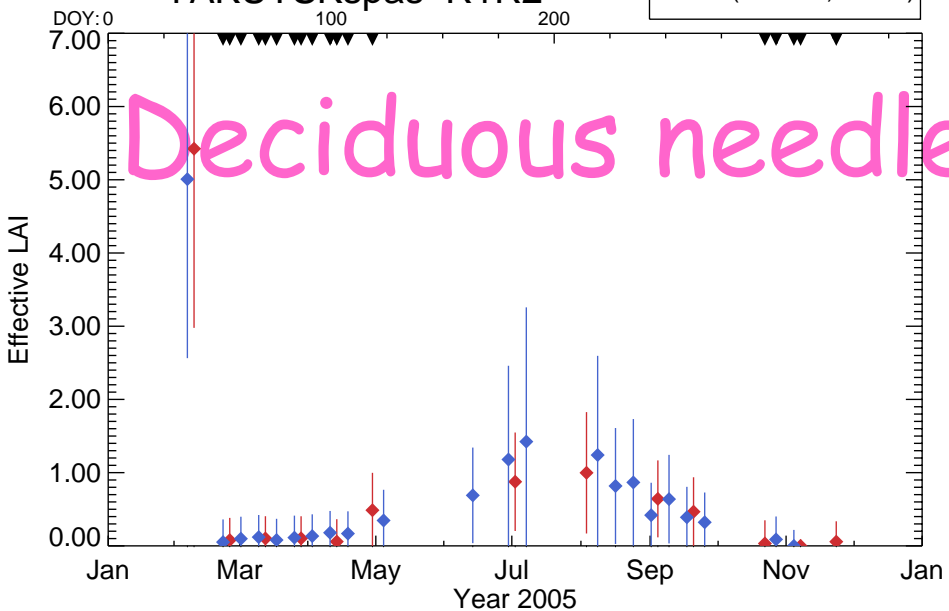
METL R1R2

● VIS (MODIS, MISR)  
■ NIR (MODIS, MISR)



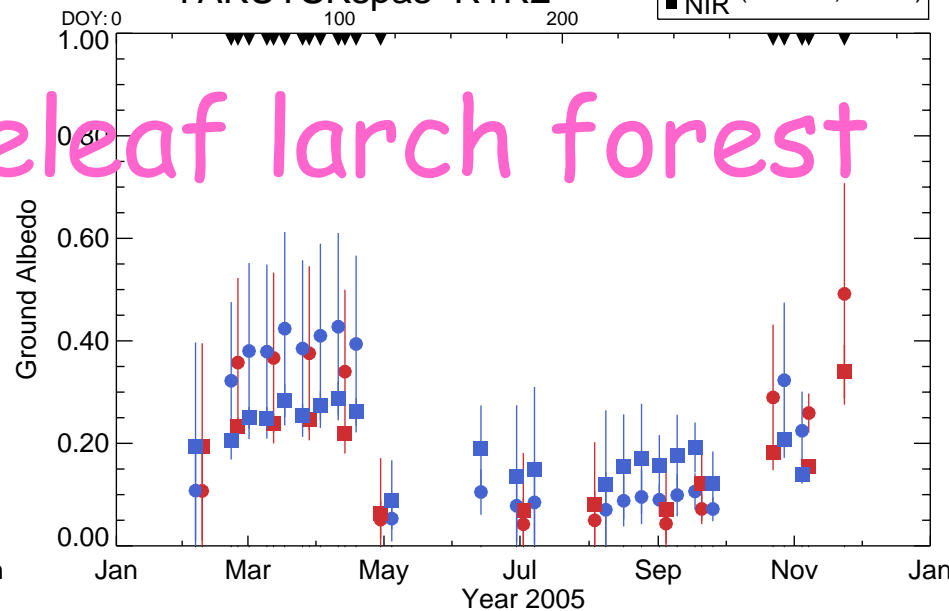
YAKUTSKspas R1R2

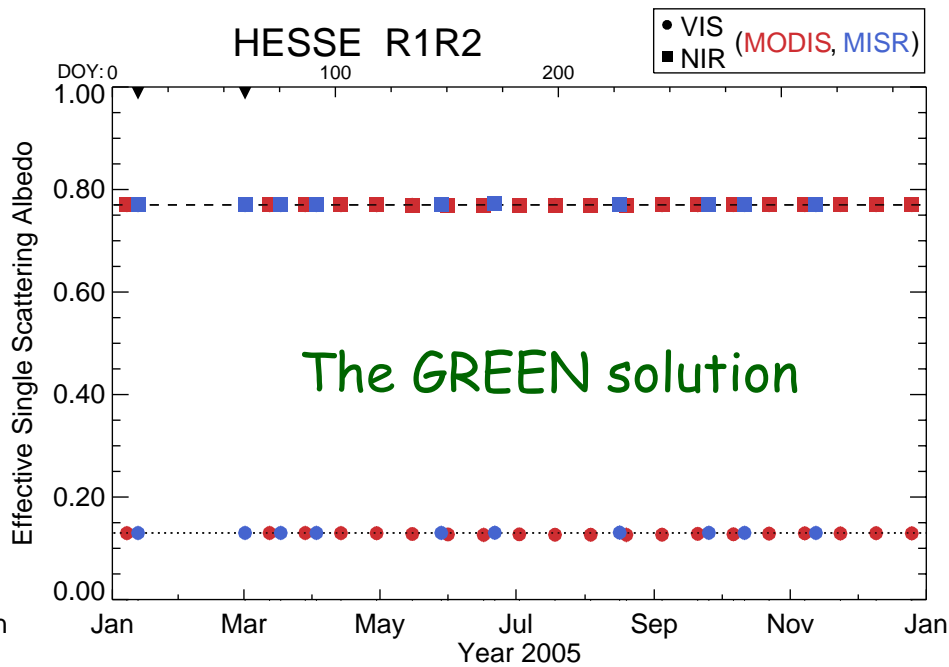
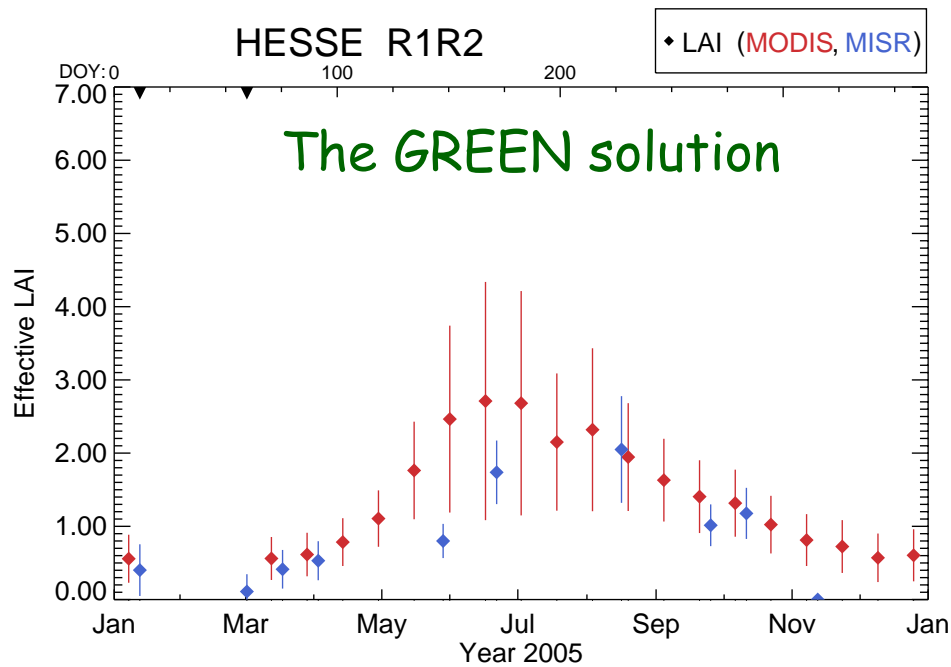
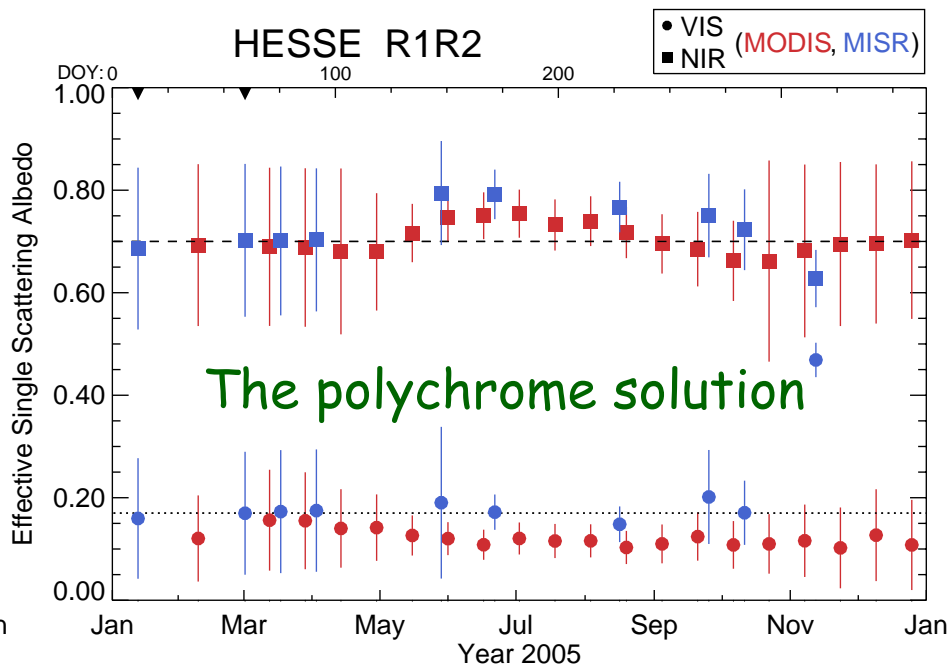
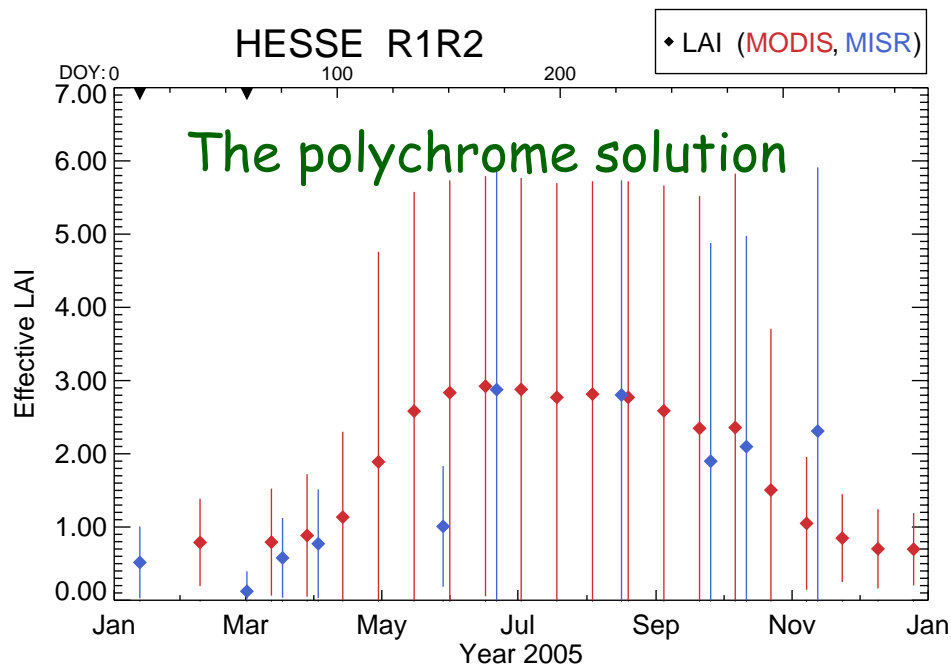
◆ LAI (MODIS, MISR)



YAKUTSKspas R1R2

● VIS (MODIS, MISR)  
■ NIR (MODIS, MISR)







# Reducing uncertainties on model parameters and radiant fluxes

The large uncertainties on the retrievals, e.g. LAI and FAPAR, are mainly a direct consequence of :

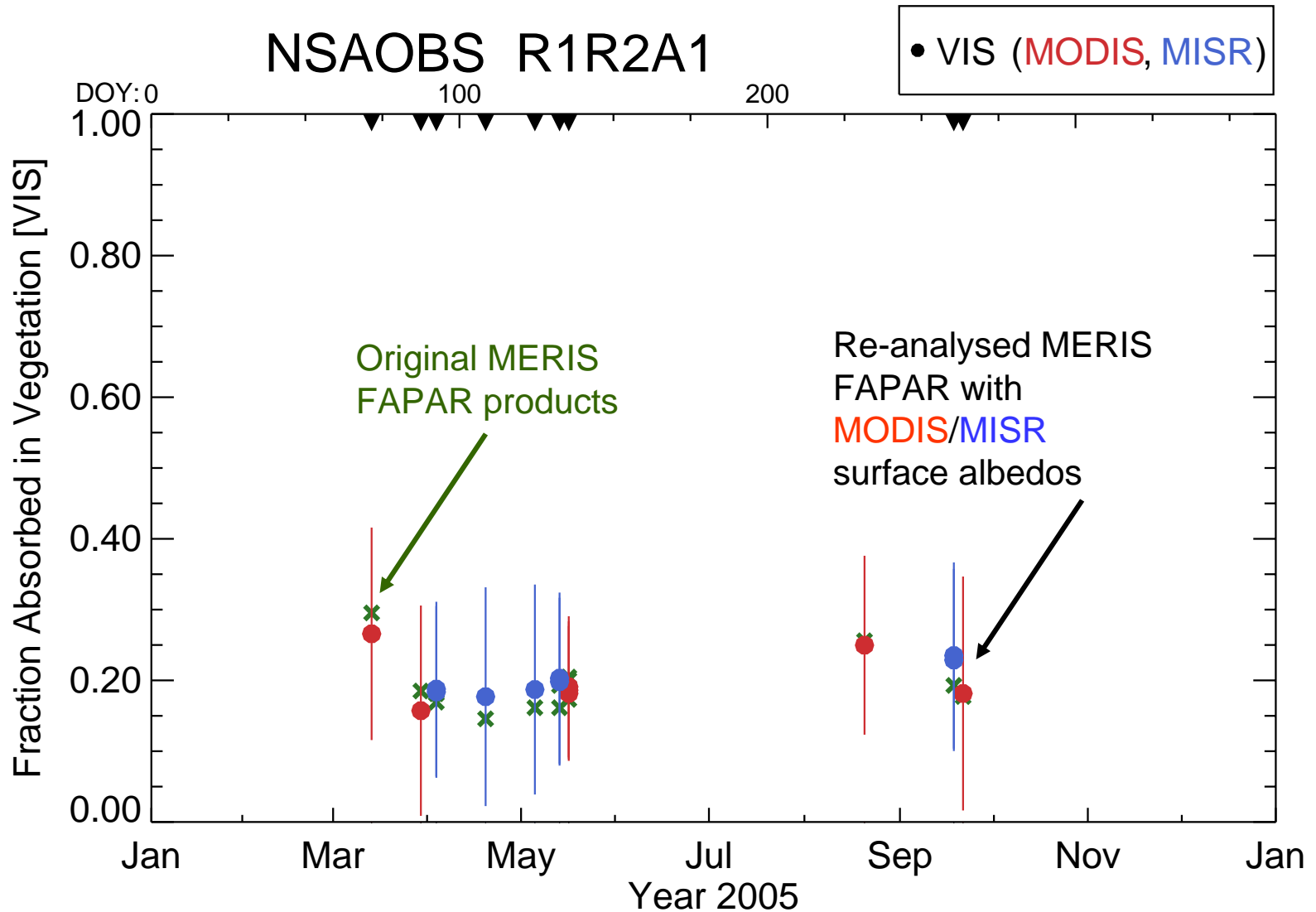
1- Correlations between model parameters in relation with radiation transfer processes

2- Limited a priori knowledge on model parameters and from available measurements

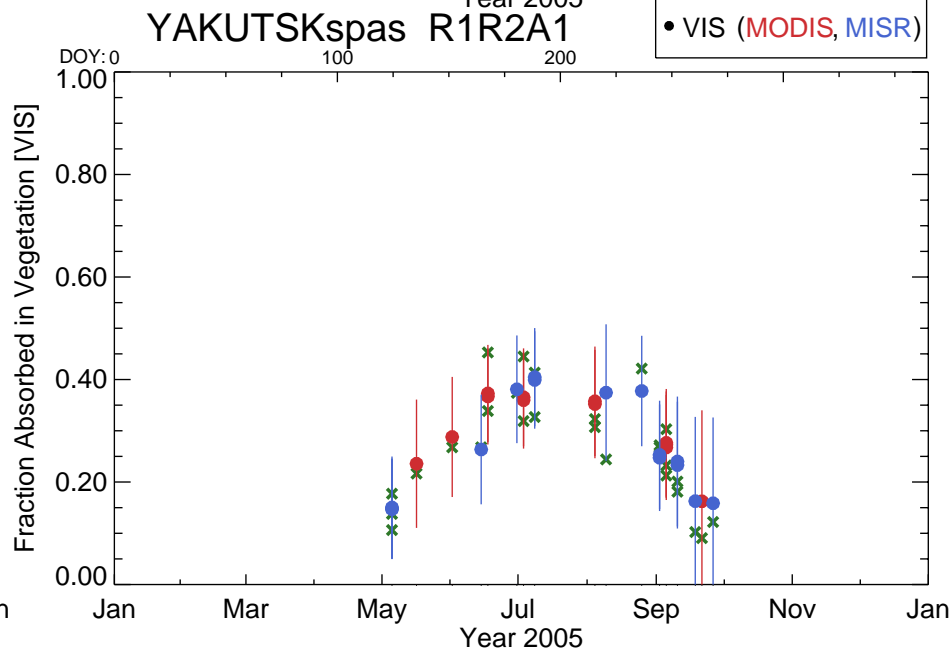
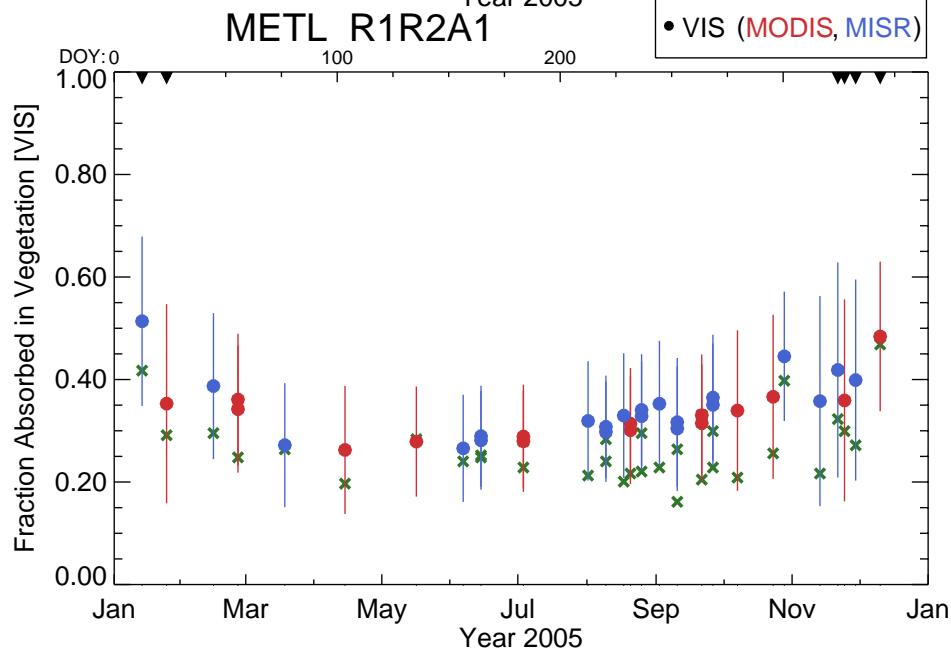
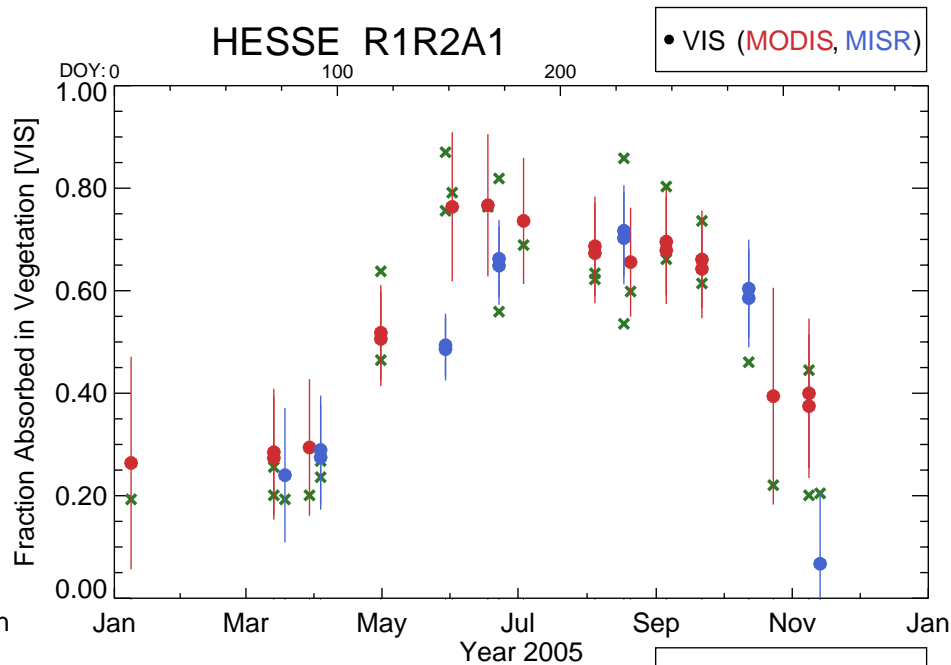
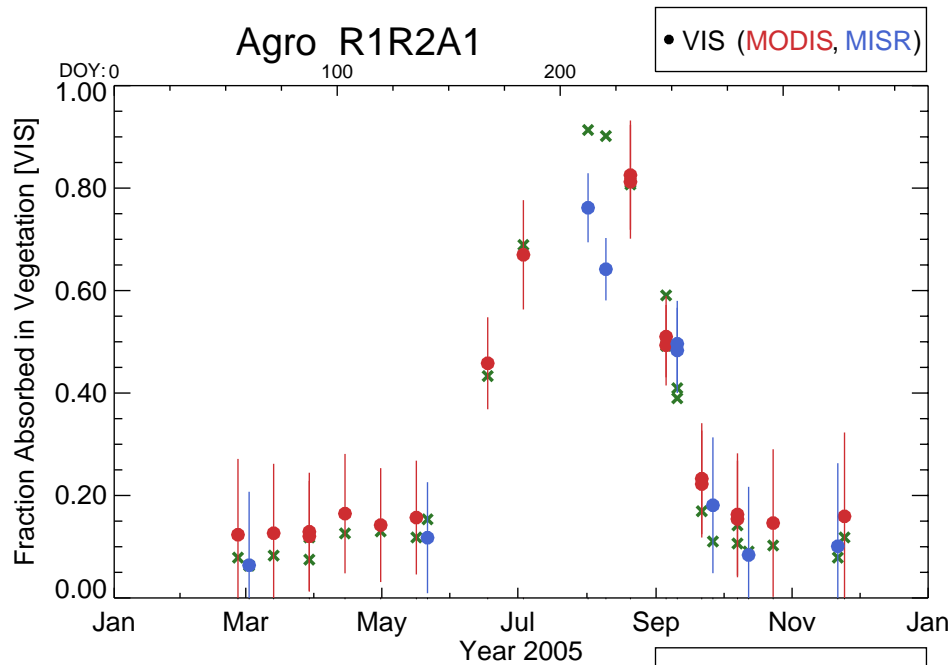


Add extra measurement(s)

# Application over NSAOBS: radiant fluxes



*Assimilation of the MODIS and MISR surface albedos*



*Assimilation of the MODIS and MISR surface albedos*

# Concluding remarks

1. Computer efficient **inversion package** has been designed and tested : estimate of uncertainty on all retrievals
2. This integrated package can be used for various purposes : **retrieval** of parameters from RS products, **validation** of RS products, **assimilation** of RS products into Land surface schemes.
3. Capability to generate global surface model parameters ensuring **full consistency** with measured (uncorrelated) fluxes from various sources: **spectral albedos** from MODIS-MISR (and any other sources).
4. Estimating radiant fluxes and surface parameters in the **presence of snow** .