

## Operational Satellites and Numerical Weather Prediction

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Course on:

ENVISAT data assimilation summer school (Frascati, August 2003)



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# **ECMWF**: A European organisation with headquarters in the UK • Established by Convention in force from November 1975 • Principal objectives: - development of methods for forecasting weather beyond two days ahead - collection and storage of appropriate meteorological data - daily production and distribution of forecasts to the Member States - provision of archival/retrieval facilities to the Member States - provision of computational resources to the Member States • Staff of about 200

Belgium	Norway
Denmark	Austria
Germany	Portugal
Spain	Switzerland
France	Finland
Greece	Sweden
Ireland	Turkey
Italy	United Kingdom
The Netherlands	
Co-operation agreements with:	
Croatia	Hungary
Czech Republic	Slovenia
Iceland	





# Key elements of an Numerical Weather Prediction (NWP) system

• The **forecast model** time evolves fields of geophysical parameters (e.g.  $T/Q/U/V/O_3$ ) following the laws of thermodynamics and chemistry

• The initial conditions used to start the **forecast model** are provided by the **analysis** 

• The **analysis** is generated from **observations** relating to the geophysical parameters combined with *a priori* **background information** (usually a short-range forecast from the previous analysis).

•This combination process is known as **data assimilation** 





















# The importance of satellite data

The **limited coverage of** *in-situ* **observations** means that satellite data are extremely important for global numerical weather prediction, particularly in the medium-range

Improvements in the quality of satellite observations and the techniques developed to assimilate the data have resulted in **satellites now being of equal or greater importance than radiosonde observations** even in data dense regions of the Northern Hemisphere

















### **FREQUENCY SELECTION**

By selecting radiation at different frequencies or **CHANNELS** a satellite instrument can provide information on a range of geophysical variables.

In general the channels used within NWP may be considered as one of 3 different types

- Atmospheric sounding channels (passive instruments)
- Surface sensing channels (passive instruments)
- Surface sensing channels (active instruments)

In practice (and often despite their name) real satellite instruments have channels which are a combination of atmospheric sounding and surface sensing

## ATMOSPHERIC SOUNDING CHANNELS

These channels are located in parts of the infra-red and microwave spectrum for which the main contribution to the measured radiance is described by:

$$L(\boldsymbol{n}) = \int_0^\infty B(\boldsymbol{n}, T(z)) \left[ \frac{d\boldsymbol{t}(\boldsymbol{n})}{dz} \right] dz$$

That is they avoid frequencies for which surface radiation and cloud contributions are important.

They are primarily used to obtain information about atmospheric temperature and humidity.







# **ACTIVE INSTRUMENTS**

These (e.g. scatterometers) illuminate the surface in window parts of the spectrum such that

 $L(\mathbf{n}) =$  Surface scattering [ $\mathcal{E}(\mathbf{u},\mathbf{v})$ ]

These primarily provide information on ocean winds (via emissivity) without  $T_{\text{surf}}$  ambiguity





## ATMOSPHERIC TEMPERATURE SOUNDING

If radiation is selected in a sounding channel for which

$$L(\mathbf{n}) = \int_0^\infty B(\mathbf{n}, T(z)) \left[ \frac{d\mathbf{t}(\mathbf{n})}{dz} \right] dz$$

And we define a function  $K(z) = \left[\frac{dt}{dz}\right]$ 

If the primary absorber is a well mixed gas (e.g. oxygen or CO2) it can be seen that the **measured radiance is essentially a weighted average of the atmospheric temperature profile**, or

$$L(\mathbf{n}) = \int_0^\infty B(\mathbf{n}, T(z)) K(z) dz$$

The function K(z) that defines this vertical average is known as a *WEIGHTING FUNCTION* 































See paper by Rodgers 1976 Retrieval of atmospheric temperature and composition from remote measurements of thermal radiation. Rev. Geophys.Space. Phys. 14, 609-624

## **RETRIEVAL ALGORITHMS**

Three different types of retrieval have been used in NWP:

•Exact or least squares solutions to reduced inverse problems

•Regression (statistical / library search / neural net) methods

•Forecast background methods

The retrieval schemes differ in the way **prior information** is used to supplement the information of the measured radiances and solve the inverse problem !



#### 1. Solutions to reduced inverse problems

We acknowledge that there is a limited amount of information in the measured radiances and **re-formulate** the ill-posed inverse problem in terms of a **reduced number of unknown variables** that can be better estimated by the data

e.g. Deep mean layer temperatures, Total Column Water / Ozone or EOF's (eigenfunctions)

Unfortunately it is difficult to objectively quantify the error in these quantities (which is very important to use the retrieval in NWP) due to the sometimes subjective choice of reduced representation.

#### 2. Regression and Library search methods

Using a sample of temperature profiles matched (collocated) with a sample of radiance observations/simulations, a **statistical** relationship is derived that **predicts e.g** atmospheric temperature from the measured radiance.

e.g. NESDIS operational retrievals or the 3I approach

These tend to be limited by the statistical characteristics of the training sample / profile library and will not produce **physically important** features if they are **statistically rare** in the training sample. Furthermore, their assimilation can destroy sharp physical features in the analysis!

#### 3. Forecast Background or 1DVAR Methods

These use an explicit background or *first-guess* profile from a short range forecast and perform **optimal adjustments** using the measured radiances. The adjustments **minimize a cost function** 





# Forecast Background Retrievals

These have a number of advantages that make them **more suitable for NWP** than other methods

•The prior information (short-range forecast) is very accurate (more than statistical climatology) which improves retrieval accuracy.

•The prior information contains information about physically important features such as fronts, inversions and the tropopause.

•The error covariance of the prior information and resulting retrieval is better known (crucial for the subsequent assimilation process).

•The retrieval may be considered an intermediate step towards the direct assimilation of radiances (no external sources of prior information)

**BUT** the error characteristics of the retrieval may be complicated due to its correlation with the forecast background (used twice!)

# Assimilation of satellite retrievals in NWP

Whatever approach is adopted to convert radiance measurements to temperature, humidity etc...The use of satellite retrievals is problematic for two main reasons:

1) They retain characteristics of the a priori information that are very difficult to remove.

2) They generally have complicated error structures that are difficult to model in the subsequent assimilation (e.g. strong correlations between levels and variables)

For these reasons the use of retrievals in global NWP has generally been superceded by the **direct assimilation of radiance data**.



# End of lecture

...next lecture...

Radiance assimilation

# Direct assimilation of radiances in NWP

Variational analysis methods such as 3DVAR and 4DVAR allow the direct assimilation of radiance observations (without the need for and explicit retrieval step).

This is because such methods do **NOT** require a linear relationship between the observed quantity (radiance) and the analysis variables (T/Q..)

The retrieval (or inversion) is essentially incorporated within the main analysis by finding the 3D or 4D state of the atmosphere that minimizes the cost function

The forecast background still provides the prior information to supplement the radiances, but the inversion is further constrained by the simultaneous assimilation of other observations.

The cost function is minimized by iteration using efficient adjoint techniques but the process is still expensive and requires super-computers















# **Direct assimilation of radiances**

By the direct assimilation of radiances we avoid the problem of assimilating retrievals with complicated error structures.

BUT

There are still a number of significant problems that must be handled

- •The specification of the background error covariance
- •The specification of the radiance error covariance
- •Other ambiguities in the data
- •Systematic radiance and RT error













If the **background errors are mis-specified** in the retrieval / analysis this can lead to a complete mis-interpretation of the radiance information and **badly damage the analysis** (indeed producing a analysis with **larger** errors than the background state !)







## **OPTIONS FOR USING LOWER TROPOSPHERIC SOUNDING CHANNELS**

• Screen the data carefully and only use situations for which the surface and cloud radiance contributions can be computed very accurately *a priori* (e.g. cloud free situations over sea). But meteorologically important areas are often cloudy!

•Simultaneously estimate atmospheric temperature, surface temperature / emissivity and cloud parameters within the analysis or retrieval process (need very good background statistics !) Can be dangerous.







# What do we know about the cloud signal ?

• Over warm surfaces (non-frozen) it is always negative

•In band split / ranked channels it increases monotonically negative

•We can identify an "obviously" contaminated channel and step backwards with a digital filter to locate the first channel with discernable cloud contamination

•All channels ranked as higher peaking can safely be assimilated as clear















# Wind adjustments with radiance data Radiances can influence the model wind field during the data assimilation process in a number of ways: •Directly through the use of frequent cloud imagery •Directly via surface emissivity (mostly microwave) •Indirectly through model physics (humidity) •Indirectly through passive tracing(humidity and ozone) We must ensure that the adjustments from different data types are consistent within the system (satellite vs *in-situ*)









# Review of key concepts (1)

•Satellite data are extremely important in NWP, even in areas with a dense network of in-situ observations

•Data assimilation combines observations and a priori information in an optimal way and is analogous to the retrieval inverse problem

•Modern data assimilation systems have largely moved to variational approaches and use radiance observations directly (not retrievals)

# Review of key concepts (2)

•The limited vertical resolution of satellite radiances makes the specification of background error covariances crucial

•Systematic errors can be very harmful, particularly in 4D systems where they have a multivariate (wind) impact on the analysis

•Dealing with cloud and surface emission remains one of the most difficult areas of research.











- The principles and aims of re-analysis
- The reality and practicalities of re-analysis
- Issues related to the use of satellite data

















# Satellite data for ERA-40

NOAA VIPR radiances	1973 - 1978
NOAA TOVS/ATOVS radiances	1978 - 2002
SSM/I radiances	1987 - 2002
• ERS Scatterometer & Altimeter	1991 - 2002
Cloud Motion Winds	1979 - 2002
JMA-GMS	( <b>1980 - 1993</b> )
EUMETSAT Meteosat 2 reprocessing	(1983 - 1988)
TOMS/SBUV ozone retrievals	1978 - 2002





# Issues related to the use of satellite data in re-analysis

To avoid any temporal inconsistencies related to changes in pre-processing by the data producer, ERA-40 used raw radiance measurements, but there are still some important issues:

•Instrument drift/shift over the lifetime of a satellite

•Absolute and inter-satellite calibration between different satellites

•Intermittent (sudden) disruptions / contamination of data (by nature)

•Changes to channel / instrument payload

•Events are often difficult to trap in time and must be fixed retrospectively

























